



January 19, 2024

Ref. FEDFINA/ CS/ 37/ 2023-24

To, National Stock Exchange of India Ltd., Listing Department Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051	To, BSE Limited, The Corporate Relationship Dept. Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400 001
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Re: Scrip Code: 544027, Symbol: FEDFINA

Sub: Report of violation related to SEBI (Prohibition of Insider Trading) Regulations, 2015 and Code of Conduct of the Company made thereon.

Dear Sir/Madam,

This is in reference with SEBI circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020 ("SEBI Circular"). The Company hereby report violation related to SEBI (Prohibition of Insider Trading) Regulations, 2015 and Code of Conduct of the Company made thereon, by one of the designated persons.

Further, the details of the violation as per the SEBI Circular is enclosed and marked as Annexure A.

The above is submitted for your kind information and appropriate dissemination.

Thanking you,

Yours Faithfully
For Fedbank Financial Services Limited

Rajaraman Sundaresan
Company Secretary & Compliance Officer
Membership No.: F3514

Encl: as above



Annexure- A

**Report by Fedbank Financial Services Limited for violation related to SEBI
(Prohibition of Insider Trading) Regulations, 2015 and the Company's Code of Conduct for
Insider Trading**

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Fedbank Financial Services Limited
2	<i>Please tick appropriate checkbox</i> Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Shailendra Wade
	ii. PAN of the DP	AASPW1358D
	iii. Designation of DP	National Head- Branch Channel Gold Loans
	iv. Functional Role of DP	National Head for Branch level Gold Loan Business
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	Fedbank Financial Services NSE: FEDFINA
	ii. No of shares traded and value (Rs.)	On January 8, 2024 - 500 (Five Hundred) equity shares were Sold for ₹ 67883.88 in NSE
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	

Sr. No.	Particulars	Details												
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA												
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA												
4	Details of violations observed under Code of Conduct	Mr. Shailendra Wade sold his shares unintentionally during the closure of trading window period i.e. from 01st January, 2024.												
5	Action taken by Listed company/ Intermediary/Fiduciary	Being the first instance, the violation is being placed before the Audit Committee in the ensuing meeting for directions.												
6	Reasons recorded in writing for taking action stated above	Violation of SEBI (PIT) Regulations, 2015 and the Company's Code of Conduct for Insider Trading to Regulate, Monitor and Report Trading by the Insiders												
7	Details of the previous instances of violations, if any, since last financial year	NA												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	NA												
	ii. Details of transfer/payment in case of online: NA													
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>NA</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td></td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td></td> </tr> <tr> <td>Transaction date</td> <td></td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td></td> </tr> </tbody> </table>		Particulars	Details	Name of the transferor	NA	Bank Name, branch and Account number		UTR/Transaction reference Number		Transaction date		Transaction Amount (in Rs.)	
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Name of the transferor	NA													
Bank Name, branch and Account number														
UTR/Transaction reference Number														
Transaction date														
Transaction Amount (in Rs.)														



In case of Demand Draft (DD): NA		
	Particulars	Details
	Bank Name and branch	NA
	DD Number	
	DD date	
	DD amount (in Rs.)	
9	Any other relevant information	None

Yours Faithfully
For Fedbank Financial Services Limited

Rajaraman Sundaresan
Company Secretary & Compliance Officer
Membership No.: F3514