



Octavius®

OCTAVIUS PLANTATIONS LIMITED

Regd. Office: T-4, Okhla Industrial Area, Phase-II, New Delhi-20

CIN No. : L65910DL1984PLC018466

Ph.: 011-45542200, Fax: 011-45542200

Website: www.octaviusplantations.com

E-mail: csdelhi@octavius.in

Date: August 02, 2021

To,
Deputy Manager
Department of Corporate Services,
BSE limited, Floor 25,
P.J Towers, Dalal Street,
Fort, Mumbai- 400001

Scrip Code: 542938

Sub: Annual Secretarial Compliance Report for the Financial Year 2020-2021

Dear Sir,

With Reference to the Regulations 24A of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015, we enclose herewith Annual Secretarial Compliance Report for the financial year 2020-2021 issued by M/s. Sharma Nitesh & Associates, Practicing Company Secretary.

You are requested to kindly take the same on your records.

For Octavius Plantations Limited

For Octavius Plantations Limited

Raj Kumar Jain

Director/Authorised Signatory

Raj Kumar Jain
Whole Time Director
DIN: 03505168



SHARMA NITESH & ASSOCIATES
Practicing Company Secretary

B-181, Sector-19, Noida, Gautam Buddha Nagar,
Uttar Pradesh-20301

E-mail: sharmaniteshassociate@gmail.com

Mob ;-+91-8000056316

SECRETARIAL COMPLIANCE REPORT OF SHARE INDIA SECURITIES LIMITED
FOR THE YEAR ENDED 31st MARCH, 2021

We, Sharma Nitesh and Associates , Company Secretaries have examined:

- a) all the documents and records made available to us and explanation provided by **Octavius Plantations Limited** (“the listed entity”),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March, 2021 Review Period”) in respect of compliance with the provisions of:
 - a. the Securities and Exchange Board of India and the Regulations, circulars, guidelines issued thereunder; and
 - b. the Securities Contracts (Regulation) Act, 1956("SCRA) Rule made thereunder and the Regulations, circulars, guidelines issued thereunder by the securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issues thereunder, have been examined, include: -

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board. Of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of ‘ (Prohibition of Insider Trading) Regulations, 2015;
- e. Securities and Exchange Board of India(Portfolio Managers) Regulations, 2020;
- f. Securities and Exchange Board of India({Research Analysts) Regulations, 2014;
- g. Securities and Exchange Board of India(Depositories and Participants) Regulations, 2018;
- h Securities and Exchange Board of India (Stock Brokers) Regulations, 1992;

i. Securities and exchange Board of India (Intermediaries) Regulations, 2008.

and based on the above examination, I/We hereby report that, during the Review Period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

S. No	Compliance Requirement Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
-	-	-	-

b. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

c. The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

S. No	Action taken by	Detail of violation	Detail of action taken e.g. fines warning letter, debarment etc.	Observations/ Remarks of the Practicing Company Secretary
-	-	-	-	

d. The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No	Observations of the Practicing Company Secretary in previous report	Observation made in the Secretarial Compliance Report for the year end (the year are to be mentioned)	Action taken by listed entity, if any	Comments of the Practicing Company Secretary on the action taken by the listed entity
-	-	-	-	

For Sharma Nitesh and Associates

Practicing Company Secretary

Nitesh Kumar Sharma
(Proprietor)

Membership No: 55712

COP: 23517

UDIN: **A055712C000725251**

Date: 02/08/2021

Place: Delhi