

October 12, 2020

The Manager,  
Listing Department,  
National Stock Exchange of India Ltd.,  
'Exchange Plaza', C-1,  
Block G,  
Bandra- Kurla Complex, Bandra (East),  
MUMBAI - 400 051

The Listing Department  
BSE Limited,  
Phiroze Jeejeebhoy  
Towers,  
Dalal Street,  
MUMBAI - 400 001

The Calcutta Stock  
Exchange Limited,  
7, Lyons Range,  
KOLKATA - 700 001

Symbol: SAREGAMA EQ

Scrip Code: 532163

Scrip Code: 017177

Dear Sir/Madam,

**Sub: Compliance under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020**

Please find enclosed a certificate dated October 12, 2020 from a Company Secretary in whole time practice as required under Regulation 40(9) of the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ['SEBI (LODR) Regulations'] in compliance with Regulation 40(10) of the SEBI (LODR) Regulations for your information and record.

Kindly take the same on record.

Thanking you,

Yours faithfully,  
For **SAREGAMA INDIA LIMITED**

**Kamana Khetan**  
**Company Secretary and Compliance Officer**

Encl. as above

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Website : www.smgupta.com

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Phones : Resi. : 2534 8148  
Mobile : 98300 57568

**S. M. GUPTA & CO.**  
COMPANY SECRETARIES  
P-15 BENTINCK STREET, CALCUTTA-700 001

Ref. No.....

Dated.....

**CERTIFICATE**  
**FOR THE HALF YEAR ENDED 30.09.2020**

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. SAREGAMA INDIA LIMITED (CIN: L22213WB1946PLC014346)** maintained by them and their Share Transfer Agents M/s. MCS Share Transfer Agent Limited pertaining to transfer of equity shares of the company for the period from **01.04.2020** to **30.09.2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of remote audit, we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) / Transmission cases received during the period from **01.04.2020** to **30.09.2020** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for sub-division, consolidation, renewal, exchange, duplicate or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment.

The Certificate is being issued under the conditions of work from home due to COVID-19 with limited resources available to us.

Place : Kolkata  
Date : 12.10.2020

Signature :



Name : S.M.GUPTA  
Membership No : FCS-896  
C. P. Number : 2053  
UDIN : **F000896B000912862**