

(Formerly Swan Mills Limited) 6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001. Tel.: 022-4058 7300 • Fax : +91-22-4058 7360 • Email: swan@swan.co.in CIN. L17100MH1909PLC000294

02nd January 2024

Dept. of Corporate Compliances, National Stock Exchange Limited, Exchange Plaza, Plot No. C/1, G Block, Bandra –Kurla Complex, Bandra-East, Mumbai – 400 051 Symbol: SWANENERGY Dept. of Corporate Service BSE Limited, P.J. Tower, Dalal Street, Fort, Mumbai – 400 001 Scrip Code: 503310

Dear Sir,

Sub: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018 for the quarter ended 31st December 2023

We enclose herewith a Certificate in compliance with Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018, received by the Company from M/s Purva Sharegistry (India) Pvt. Ltd, Registrar and Share Transfer Agent of the Company for the quarter ended 31st December 2023.

The above is for your information and dissemination please.

Thanking you,

Yours faithfully,

For Swan Energy Limited

(Arun Agarwal) Company Secretary

Enclosed as above



9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011 Tel : 022-3199 8810 / 4961 4132 • Email: support@purvashare.com • Web : www.purvashare.com

01/01/2024

Τo,

SWAN ENERGY LIMITED - INE665A01038

Dear Sir/Madam.

Sub : Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that security received from the depository participants for dematerialisation during the quarter ended December, 2023, were confirmed (accepted / rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully, For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri Compliance Officer.

SWAN ENERGY LIMITED - INE665A01038 - Details of Share certificates dematerialised from 01/10/2023 to 31/12/2023

FOLIO	NAME OF SHAREHOLDE R	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALIS ED ON
042748	GOURI VINOD YENNEMADI	0301117	799401	799500	100	09/10/2023
K0010010	KASHMIRA SHASHIKANT DALAL	0302515	1915301	1915400	100	18/10/2023
M0010023	MR KHOORSHED KEKI DOCTOR	0301841	1233801	1238400	4600	23/10/2023
M0010020	MALTI VIJAYSINH KAPADIA	0303936	831201	831300	100	23/10/2023
045519	BINA DEVI BEHANI	0301821	1195701	1195800	100	26/10/2023
K0010011	KALPESH VIRENDRABHAI	0300752	445001	445100	100	27/10/2023
043559	YOGESH JAMNADAS JESSRANI	0301301	847901	848000	100	30/10/2023
027084	YOGESH JAMNADAS	0300350	308501	308600	100	30/10/2023
048972	UMESH AHUJA	0302351	1631101	1631200	100	24/11/2023
041644	JAYANARAYAN BHAWARLAL MUNDRA	0300944	687001	687100	100	28/11/2023
S0010020	SAMIR KISHORE SHAH	0302050	1389701	1390200	500	29/11/2023
046819	SAMIR KISHORE SHAH	0302052	1390501	1391500	1000	29/11/2023
A0010018	ARUN KEJRIWAL	0302274	1547001	1548500	1500	05/12/2023
049196	NIMISHA P SHAH	0302406	1780001	1782500	2500	08/12/2023
P0010007	PRAKASH KANTILAL DALAL	0301745	1140501	1140600	100	12/12/2023
047751	AJIT LAXMICHAND NAGDA	0302180	1489201	1490200	1000	14/12/2023
041665	MAHENDRA KANTIBHAI PATEL	0300950	688701	689200	500	14/12/2023