

KEN FINANCIAL SERVICES LIMITED

101, Shah Arcade, A Wing, Shah Arcade SRA CHS Ltd., Rani Sati Marg,
Near W. E. Highway, Malad (East), Mumbai - 400 097.
Ph. No. 022-28881720, Email: kenfsl@rediffmail.com, Website: ken-fin.com
(CIN- L65990MH1994PLC078898)

Date: 22nd October, 2021

To,
BSE Limited
Department of Corporate Service
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400 001.

Ref: **Security Code - 530547**

Sub: **Submission of Certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2021.**

Dear Sir / Madam,

We are enclosing herewith Compliance Certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2021.

Kindly take the same on your record.

Thanking you.

Yours faithfully,
For Ken Financial Services Limited



Akash Swami
DIN: 06938405
Whole-time Director



Encl: as above



CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015

FOR THE HALF-YEAR ENDED 30th SEPTEMBER, 2021

- (a) I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Ken Financial Services Limited CIN-L65990MH1994PLC078898 Maintained by M/s Purva Sharegistry (India) Private Limited (Registrar & Transfer Agent of the said company), Pertaining to transfer of equity shares of the company for the period from 01st April, 2021 to 30th September, 2021 for the purpose of issuing a Compliance Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, with the Stock Exchanges. Based on our examination, as well as information and explanation furnished to us by the Company, we hereby certify that, during the half year ended on 30th September, 2021:
- A. The Company has not received any request for registration of transfer, sub-division, Consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares in the Company, during the period beginning from 01st April, 2021 to 30th September, 2021 as per the MIS Report received from Company and its RTA for the purpose of Audit.
- B. Share Certificate(s) relating to the transfer of Shares received during the period from 01st April, 2021 to 30th September, 2021 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgement of each deed excepting those rejected on technical grounds(not applicable as the Company has not received any request of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares).



For CS Nitesh Chaudhary & Associates
Practicing Company Secretary

For Nitesh Chaudhary & Associates
Practicing Company Secretary
Membership FCS 10010
COP NO 16275

Date: 20th October, 2021
Place: Mumbai

UDIN: F010010C001226335