

21st June, 2021

To,  
BSE Limited,  
25th Floor,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai: 400 001

National Stock Exchange of India Limited  
Exchange Plaza, 5th Floor,  
Plot No. C/1, G Block,  
Bandra-Kurla Complex,  
Bandra(E), Mumbai: 400051

**Sub: Annual Secretarial Compliance Report under clause 24A of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Dear Sir,

This refers to Annual Secretarial Compliance Report under clause 24A of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 read with SEBI Circular bearing no. CIR/CFD/CMD1/27/2019 dated February 08, 2019.

We submit herewith Annual Secretarial Compliance Report for the year ended 31st March, 2021 issued by M/s. Tejal Shah & Associates, Practicing Company Secretaries.

This is for your information and record.

Thanking you,  
Yours faithfully,

**For Johnson Controls-Hitachi Air Conditioning India Limited**



**Parag Dave**  
**Company Secretary**  
eCSIN: EA012626A000079275



**Tejal Shah**  
B.Com., LLB, ACS

**Tejal Shah & Associates**

**COMPANY SECRETARIES**

E/69, Ayojannagar Society,  
Nr. Shreyas Railway Crossing,  
Paldi, Ahmedabad-380007.  
Phone Nos. : 26621871, 9825097067  
email : tejalshah.associates@gmail.com  
email : tejal75\_shah@yahoo.co.in

**Annual Secretarial Compliance Report of**  
**M/s. Johnson Controls- Hitachi Air Conditioning India Limited**  
**For the Financial Year ended 31.03.2021**

We have examined:

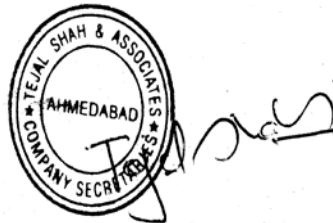
- (a) The books, papers, minute books, forms and returns filed and all the documents and records made available to us and explanation provided by M/s. Johnson Controls- Hitachi Air Conditioning India Limited (“the listed entity”),
- (b) The filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the M/s. Johnson Controls- Hitachi Air Conditioning India Limited
- (d) Website of the Bombay Stock Exchange (BSE) and National Stock Exchange (NSE)

For the year ended 31.03.2021 in respect of compliance with the provisions of:

- (a) The Securities and Exchange of India Act, 1992 (“SEBI ACT”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities and Contracts (Regulation) Act 1956 (“SCRA”) rules made thereunder and the Regulations, circulations, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and circulars/ guidelines issued thereunder, have been examined, include:

- (a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not Applicable to the Company during the year ended 31.03.2021)
- (c) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not Applicable to the Company during the year ended 31.03.2021)



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- (e) The Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2018; (Not Applicable to the Company during the year ended 31.03.2021)
- (f) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not Applicable to the Company during the year ended 31.03.2021)
- (g) The Securities and Exchange Board of India (Issue and Listing of Non- Convertible Preference Shares) Regulations, 2013; (Not Applicable to the Company during the year ended 31.03.2021)
- (h) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client ;
- (i) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and
- (j) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; (Not Applicable to the Company during the year ended 31.03.2021)

And circulars/ guidelines issued thereunder;

And based on the above examination, we hereby report that, during the review period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder and in our opinion there were not any deviation found in any of the compliances.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- (c) There was not any action taken against the listed entity/ its promoters / its directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:



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(d) The listed entity has taken the following actions to comply with the observations made in previous reports: (Not applicable since no observations made in previous report).

**PLACE: AHMEDABAD**  
**DATE: 19/06/2021**

**FOR TEJAL SHAH & ASSOCIATES**  
**COMPANY SECRETARIES**



**TEJAL SHAH**  
**PROPRIETOR**  
**M. NO. 13316**  
**UDIN- A013316C000487559**