

SE/138/2020-21

28.10.2020

The Manager The National Stock Exchange of India Limited Exchange Plaza, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.	The Manager Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers, Floor 25, Dalal Street, Mumbai- 400 001
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Ref.: Scrip Symbol: FEDERALBNK/Scrip Code: 500469

Dear Madam/ Sir,

Sub: - Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please find enclosed herewith a certificate issued by CS Sivakumar P, Managing Partner, M/s. SEP & Associates, Kochi, for the period from April 01, 2020 to September 30, 2020 under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your kind information and records.

Thanking you,

For The Federal Bank Limited

Company Secretary

Partners:

CS Sivakumar P., M.Com, FCMA, FCS
CS Madhusudhanan E.P., M.com, FCMA, FCS
CS Anju Panicker, BA, LLB (Hons.), ACS

Reg.Office: Building No. C.C 56/172
K.C. Abraham Master Road,
Panampilly Nagar, Kochi-682036
+91 9947262162, +91 8089359964

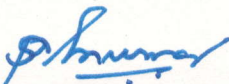
**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES EXCHANGE BOARD OF
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,
2015**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

We have examined the relevant records, registers, files and all other documents of M/s. **The Federal Bank Ltd.**, having its Registered office at **Federal Towers, P B No. 103, Alwaye, Ernakulam, Kerala- 683101**, maintained by **Integrated Registry Management Services Private Limited**, 2nd Floor, Kences Towers, No 1 Ramakrishna Street, North Usman Road, T Nagar Chennai - 600017 the Registrar and Share Transfer Agent (RTA) of the Company for the period from **1st April, 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015 and based on the information provided by the Company, hereby certify that:

- A) As the transfer of shares held in physical form has been barred by SEBI, the Board of Directors/Share Transfer Committee of the company have not processed any share transfer requests and consequently there arose no necessity for entry in the Memorandum of Transfers and issue of Share Certificate(s) thereof during the said period.
- B) Duplicate Share Certificate has been issued within the prescribed period from the date of lodgment thereof during the said period.
- C) No request for Share Transmission, sub-division, consolidation, renewal, exchange and endorsement of calls / allotment monies or issue of split share certificates or duplicate certificates have been received by the company during the said period.
- D) Any other information, if applicable: **NIL**

For SEP & Associates
Company Secretaries
(ICSI Unique Code: P2019KE075600)


CS Puzhankara Sivakumar
Managing Partner
FCS: F3050 COP: 2210



UDIN: F003050B001086682

Date: 28.10.2020
Place: Kochi