



SHARDUL SECURITIES LIMITED

CIN : L50100MH1985PLC036937

G 12, Tulsiani Chambers, Nariman Point, Mumbai - 400 021.

Tel. : 91 22 4603 2806 / 07

Tel. : 91 22 4603 2806 / 07

Email id : investors@shriyam.com Website : www.shardulsecurities.com

Date: 24th April 2024

To
The Manager
Department of Corporate Services
The Stock Exchange, Mumbai
P. J. Towers, Dalal Street
Mumbai- 400 001.

Dear Sir,

Re: Our Security Code No.512393

Sub: Certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March 2024.

With reference to the captioned matter, please find enclosed for the year ended 31st March, 2024 Compliance Certificate pursuant to sub-regulation 9 of Regulation 40 of the SEBI Listing (Obligations and Disclosure Requirements) Regulations, 2015 received from M/s. D Maurya & Associates, Practicing Company Secretary.

Kindly acknowledge the receipt and take the above on record.
Thanking you,

Yours faithfully,

FOR SHARDUL SECURITIES LTD.

DAYA BHALIA
COMPANY SECRETARY & COMPLIANCE OFFICER



Encl: As above



Dhirendra R. Maurya
M.Com, ACS

D MAURYA & ASSOCIATES
Practicing Company Secretary

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS
FOR THE YEAR ENDED 31st MARCH 2024**

I have examined the relevant books and records of Link Intime India Pvt. Ltd., RTA of **Shardul Securities Limited** (CIN: L50100MH1985PLC036937) produced before me for the purpose of issuing the Certificate under sub-regulation 9 of Regulation 40 of the SEBI Listing (Obligations and Disclosure Requirements) Regulations, 2015 with the BSE Limited and based on my such examination as well as information and explanations to me which to the best of our knowledge and belief were necessary for the purposes of my certification, I hereby certify that in my opinion and according to the best of my information and belief the company has, in relation to the period ended **31st March 2024** delivered all certificates within the period stipulated under Listing Agreement from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange of endorsement of calls/allotment monies.

Regards,

D MAURYA & ASSOCIATES
Practicing Company Secretary



CS Dhirendra R. Maurya
Proprietor
ACS No. 22005; CP No. 9594

Peer Review Cert. No.: 2544/2022
UDIN: A022005F000223844

Date: April 24, 2024
Place: Mumbai