



Swan Energy Limited

(Formerly Swan Mills Limited)

6 FELTHAM HOUSE, 2nd FLOOR, 10, J. N. HEREDIA MARG, BALLRD ESTATE, MUMBAI - 400 001
Tel.: +91 22 4058 7300 • Email: info@swan.co.in / invgrv@swan.co.in • website: swan.co.in
CIN. L17100MH1909PLC000294

June 07, 2024

swan/nse/bse

Dept. of Corporate Compliances,
National Stock Exchange Limited,
Exchange Plaza, Plot No. C/1, G Block,
Bandra –Kurla Complex, Bandra-East,
Mumbai – 400 051
Symbol: SWANENERGY

Dept. of Corporate Service
BSE Limited,
P.J. Tower, Dalal Street, Fort,
Mumbai – 400 001
Scrip Code: 503310

Dear Sir / Madam,

Subject: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Reminder letter sent to shareholders for claiming dividend/ transfer of shares to Investor Education and Protection Fund (IEPF) Authority

Please find enclosed a specimen of the reminder letter sent to the shareholders whose dividend remains unpaid/ unclaimed for seven consecutive years and whose shares are liable to be transferred to the IEPF authority in terms of Section 124(6) of the Companies Act, 2013 read with Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, as amended from time to time

This is for your information and records.

You are requested to take above on record.

Thanking you,

Yours faithfully,
For Swan Energy Limited

(Arun S. Agarwal)
Company Secretary

Enclosed as above



PURVA SHAREGISTRY (I) PVT.LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

To

Serial No.:

<<Name>>

Folio / DPID Client Id:

<<Add1>>

Date:

07/06/2024

<<Add2>>

<<Add3>>

<<Add4>>, <<Pin>>

Dear Sir/Madam,

Unit: **SWAN ENERGY LIMITED (CIN: L17100MH1909PLC000294)**

Sub.: **Transfer of Equity Shares, in respect of which dividend has remained unclaimed or unpaid for a period of seven consecutive years or more, to the name of Investor Education and Protection Fund (IEPF)**

Re.: **Folio No. / DP ID and Client ID:**

This has reference to the captioned subject, kindly note that pursuant to Sections 124 and 125 of the Companies Act, 2013 read together with IEPF (Accounting, Audit, Transfer and Refund) Rules, 2016, as amended from time to time, the Company is required to transfer the shares, in respect of which dividend has remained unclaimed or unpaid for a period of seven consecutive years or more, to the name of Investor Education and Protection Fund (IEPF).

Kindly note that according to the records available as on **30/03/2024**, you have not encashed the dividend warrants for the following year;

Dividend for the year	Warrant /DD No.	Amount (Rs.)	No. of Shares
2016-2017			
2017-2018			
2018-2019			
2019-2020			
2020-2021			
2021-2022			
2022-2023			

Please note that as per Section 125 of the Companies Act, 2013, the Company will be obliged to transfer any money lying in the unpaid dividend account, which remains unpaid or unclaimed for a period of seven years, to credit the Investor Education and Protection Fund (IEPF). **Further, please note that the dividend paid for the financial year 2016-2017 is due for transfer to the IEPF on October 27, 2024.**

It may please be noted that if no claim is received by the company or the Share Transfer Agent before **27/10/2024**, Company shall transfer the shares held by you to IEPF pursuant to IEPF Rules, 2016 by following the due process as under:

- In case of shares held in physical form** – by issuance of new share certificate in lieu of duplicate share certificate and transfer the same to IEPF. The original share certificate(s) which stand registered in your names and held by you, will stand automatically cancelled;
- In case of shares held in demat mode** – Your demat account will be debited for the shares liable for transfer to the IEPF.

Further, please note that no claim shall lie against the company in respect of the unclaimed dividend and share transferred to the IEPF. However, both the unclaimed dividend amount and the shares can be claimed from the IEPF Authority by making an application in the prescribed Form IEPF-5 online and sending the physical copy of the same duly signed (as per the specimen signature recorded with the Company) along with requisite documents enumerated in the Form IEPF-5 to the Company. The Rules and the application form (Form IEPF-5), as prescribed by the MCA for claiming back the shares/ dividend are available on the website of the MCA (www.iepf.gov.in).

For applying of unpaid dividend application shall be made along with ISR-1, ISR-2, ISR-3 or Form SH-13 with a self-attested copy of PAN Card, copy of Aadhaar Card, original cancelled cheque and address proof. The forms are available on our website <https://www.purvashare.com/faq>

Please feel free to contact the Company / the Registrar & Transfer Agent (PURVA) in case you have any queries, as per details furnished hereunder:

Mr. Arun S Agarwal Swan Energy Limited 6, Felham House, 2nd Floor, J N Herdia Marg, Ballard Estate Mumbai- 400001 Tel No. 022-40587350 Email: arun@swan.co.in Website: www.swan.co.in	Ms. Deepali Dhuri Purva Sharegistry (India) Private Limited Unit no. 9 Shiv Shakti Ind. Estate, .R. Boricha Marg, Opp. Kasturba Hospital Lane Lower Parel (E), Mumbai 400 011 Tel No. 022 – 4961 4132/ 3522 0056 Email: support@purvashare.com Website: www.purvashare.com
--	---

Thanking you,

Yours Faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Sd/-

Authorised Signatory