

HO/Finance/Share/14/2023-24

Date: 25.04.2023

National Stock Exchange of India Ltd.

"Exchange Plaza"
Plot no. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai – 400 051

NSE Scrip Symbol: UCObANK

BSE Limited

Phiroze Jeejeebhoy Towers
Dalal Street, Fort,
Mumbai – 400 001

BSE Scrip Code: 532505

Dear Sir/ Madam,

Sub : Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the year ended 31.03.2023

Pursuant to Regulation 40(10) of SEBI (LODR) Regulations, 2015 , we submit the copy of certificate dated 25.04.2023 issued by M/s Manish Ghia & Associates, Company Secretaries for the year ended 31.03.2023.

Kindly take the same on your records.

Yours faithfully,

(N Purna Chandra Rao)
Company Secretary

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURES REQUIREMENTS) REGULATIONS, 2015
FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023**

TO WHOMSOEVER IT MAY CONCERN

We have examined all Registers, files and other documents relating to **UCO Bank** having its registered office at No. 10, BTM Sarani, Kolkata, West Bengal, 700001 maintained by **KFin Technologies Limited (formerly known as KFin Technologies Private Limited)**, the Registrar & Share Transfer Agent (RTA) of the Bank, pertaining to duplication, consolidation, transmission, sub-division, consolidation, exchange or endorsement of calls/allotment monies of equity shares of the Bank during the year ended **31st March, 2023**, for the purpose of issuing the Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 to **UCO Bank** and based on information provided by the Bank, we hereby certify that during the year ended on **31st March, 2023**, the Bank:

- has issued share certificate(s) or letter of confirmation wherever required, relating to request(s) for transmission, consolidation, name deletion and issue of duplicate share certificate(s) in lieu of original lost/misplaced share certificate(s), received within 30 days from the date of lodgement except those rejected on technical grounds; and
- has not received any request(s) for subdivision, renewal, exchange or endorsement of calls/ allotment monies.

**For Manish Ghia & Associates
Company Secretaries
(Unique ID: P2006MH007100)**



**Place: Mumbai
Date: April 25, 2023
UDIN: F006252E000187171**

**MANNISH
LALITCHAN
DRA GHIA**

Digitally signed by MANNISH LALITCHANDRA GHIA
DN: c=IN, postalCode=400067,
o=MANISHGHIA&ASSOCIATES, ou=MANISHGHIA&ASSOCIATES, ou=Personal,
emailNumber=56377367364894601566676283
118448B79C1A25633811C3226C914554544,
pseudoDnym=55d5134c112540c58a3a3c3993850e
5c
2.5.4.20=28d4f12609910884210d812296c56a0
11278194450900202411310070004,
email=MANISHGHIA&ASSOCIATES@GMAIL.COM,
cn=MANISH LALITCHANDRA GHIA
Date: 2023.04.25 15:05:03 +05'30'

**CS Mannish L Ghia
Partner
M. No. FCS 6252, C.P. No. 3531
PR 822/2020**