

यूको बैंक

सम्मान आपके विश्वास का



UCO BANK

Honours Your Trust

HO/Finance/Share/11/2020-21

Date: 05.05.2020

National Stock Exchange of India Ltd.

"Exchange Plaza"

Plot no. C/1, G Block

Bandra-Kurla Complex, Bandra (E)

Mumbai – 400 051

NSE Scrip Symbol: UCOBANK

BSE Limited

Phiroze Jeejeebhoy Towers

Dalal Street, Fort,

Mumbai – 400 001

BSE Scrip Code: 532505

Dear Sir,

SUB: Certification under Regulation 40(9) of SEBI (LODR) Regulations, 2015.

With reference to above, we submit herewith the Certificate issued by M/s. Manish Ghia & Associates, Company Secretaries for the half year ended 31.03.2020. This information is given in pursuant to Regulation 40(9) of SEBI (LODR) Regulations, 2015.

Kindly acknowledge.

Yours faithfully,

(N Purna Chandra Rao)
Company Secretary



Encl: As stated

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 31ST MARCH, 2020**

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to UCO Bank having its registered office at 10, BTM Sarani, Kolkata, West Bengal - 700001 maintained by KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited), the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1st October, 2019 to 31st March, 2020 for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by UCO Bank and based on information provided by the Company, we hereby certify that during the half year ended on 31st March, 2020 the Company:

- a) has issued Share Certificate(s) relating to request(s) for Consolidation of share certificate(s) received during the said half year (from 1st October, 2019 to 31st March, 2020) within thirty days from the respective date(s) of receipt of such request(s) except those rejected on technical grounds; and
- b) was not required to deliver any share certificate(s) as it has not received any request(s) for transfer, sub-division, renewal, exchange or endorsement of calls/ allotment monies except those rejected on technical grounds.

**For Manish Ghia & Associates
Company Secretaries**

MANISH
LALITCHAN
DRA GHIA

Digitally signed by
MANISH
LALITCHANDRA GHIA
Date: 2020.05.05
13:45:07 +05'30'

**Manish L. Ghia
Partner**

M. No. FCS 6252 C.P. No. 3531

**Place : Mumbai
Date : May 5, 2020
UDIN:F006252B000201623**

Note : Due to lockdown on account of COVID - 19 Pandemic, we have not been able to carry out physical visit to RTA office for verification of data. The Certificate is based on inputs received via email from RTA and oral confirmation with RTA.