

# KEN FINANCIAL SERVICES LIMITED

F-9, 1<sup>st</sup> Floor, Shah Arcade 1, Rani Sati Marg, Near W. E. Highway, Malad (East), Mumbai - 400 097.  
Ph. No. 022-46002989, Email: kenfsl@rediffmail.com, Website: ken-fin.com  
(CIN- L65990MH1994PLC078898)

---

Date: 20<sup>th</sup> April, 2024

To,  
BSE Limited  
Department of Corporate Service  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400 001.

Ref: **Security Code - 530547**

Sub: **Submission of Certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31<sup>st</sup> March, 2024.**

Dear Sir / Madam,

We are enclosing herewith Compliance Certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31<sup>st</sup> March, 2024.

Kindly take the same on your records.

Thanking you.

Yours faithfully,

**For Ken Financial Services Limited**

SARIKA  
SHUBHENDRA  
AGARWAL

Digitally signed by SARIKA  
SHUBHENDRA AGARWAL  
Date: 2024.04.20 14:18:48  
+05'30'

**Sarika Agarwal**  
**Company Secretary**  
**M. No. ACS -14710**

Encl: as above



**NITESH CHAUDHARY & ASSOCIATES**  
**PRACTICING COMPANY SECRETARY**

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED ON 31<sup>ST</sup> MARCH 2024

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Ken Financial Services Limited CIN-L65990MH1994PLC078898 Maintained by M/s Purva Sharegistry (India) Pvt. Limited (Registrar & Transfer Agent of the said company), Pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 for the purpose of issuing a Compliance Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, with the Stock Exchanges. Based on our examination, as well as information and explanation furnished to us by the Company, we hereby certify that, during the year ended on 31<sup>st</sup> March, 2024:

- A. The Company has not received any request for registration of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares in the Company, during the period beginning from 01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 as per the MIS Report received from Company and its RTA for the purpose of Audit.
- B. Share Certificate(s) relating to the transfer of Shares received during the period from 01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds (not applicable as the Company has not received any request of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares).

For Nitesh Chaudhary & Associates  
Practicing Company Secretary



*Nitesh Chaudhary*  
CS Nitesh Chaudhary, Proprietor  
Mem. No FCS: 10010  
COP No: 16275

Date: 15<sup>th</sup> April, 2024

UDIN: F010010F000127831