

Date: 06<sup>th</sup> April 2023

<p>To, The Manager, Listing &amp; Compliance, BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001.</p> <p><u>Ref: Scrip Code - 540393</u></p>	<p>To, Listing Department, National Stock Exchange of India Limited, C-1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051</p> <p><u>Ref: Scrip Symbol - SMLT</u></p>
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**Sub: Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Dear Sir/Madam,

Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, we submit herewith the copy of the Certificate issued by M/s Nilesh A Pradhan & Co., LLP Practicing Company Secretary, for the year ended on March 31, 2023.

Kindly take the above Certificate on your records.

Thanking You,  
Yours faithfully,  
**For, Sarthak Metals Limited**

**Pratik Jain**  
**Company Secretary & Compliance Officer**

Encl. as above

# NILESH A. PRADHAN & CO., LLP

## Company Secretaries

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**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2023**

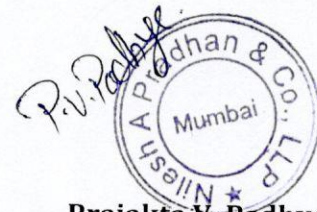
I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **SARTHAK METALS LIMITED** maintained by Bigshare Services Private Limited pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April,2022 to 31<sup>st</sup> March,2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by **SARTHAK METALS LIMITED** and based on the information provided by the Company, I hereby certify that during the year ended on 31<sup>st</sup> March,2023:

All the shares of **SARTHAK METALS LIMITED** are held in dematerialised form therefore the provisions relating to issue of Share Certificate(s) relating to the Share Transfer form(s) and Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgement are not applicable to the Company.

Date: 5<sup>th</sup> April, 2023

Place: Mumbai

For Nilesh A. Pradhan & Co., LLP  
Company Secretaries



**Prajakta V. Padhye**  
Partner

**FCS NO. 7478**

**CP No. 7891**

**UDIN: F007478E000016949**

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LLP Identity No.AAN-6938

B-201, Pratik Industrial Estate, Near Fortis Hospital, Mulund-Goregaon Link Road, Mumbai- 400078.

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