



Swadeshi

INDUSTRIES & LEASING LTD.

CIN NO. L45209 MH 1983 PLC 031246

CIN:L46309MH1983PLC031246

Ref :

Date :

Date: 20th April, 2024

To
Dept. of Corporate Services,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

Scrip Code: 506863

Dear Sir,

Sub: Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to above, we are enclosing herewith the Certificate received from Sanjay Dholakia & Associates, Practising Company Secretary for the year ended March 31, 2024 as required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

You are requested to take the same on your record.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,

For SWADESHI INDUSTRIES AND LEASING LTD

Gaurav Jain

GAURAV JAIN
MANAGING DIRECTOR
(DIN 06794973)



Encl : As above



SANJAY DHOLAKIA & ASSOCIATES

COMPANY SECRETARIES

BCOM LLB FCS

GP 15, 2nd Floor, Raghuleela Mall, Behind Poincur Bus Depot, Kandivali (West), Mumbai - 400 067.
☎ : 2807 3233 / 49713233 / 98700 31365 • E-mail : sanjayrd65@gmail.com / sanjay@srdholakia.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 31st MARCH, 2024

I have examined all relevant books, Registers, forms and other documents relating to Swadeshi Industries And Leasing Ltd ("The Company") a Company registered under Companies Act, 1956 bearing Corporate Identification Number L46309MH1983PLC031246 and having its registered office at SHOP 117, 1ST Floor, V Mall, Thakur Complex, Western Express Highway, Kandivali East, Kandivali East, Mumbai- 400101, as maintained by the Company's Registrar and Transfer Agents, M/s. Link Intime India Private Limited having its office at C-101, 247 Park, L.B.S Marg, Vikhroli (W), Mumbai-400083, for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 in relation to transfer of equity shares of the Company during the period from 01st April, 2023 to 31st March, 2024

Based on the information provided by the Company, I hereby certify that the Company has not received any requests for:

A) renewal, replacement, exchange of share certificates, name change, issue of Duplicate of shares, exchange or endorsement of calls/allotment monies during this period. However, there was four request for transmission of shares, two request for Transfer, One Request for Sub Div/ Console/Duplicate and one request for Name Deletion during this period.

For SANJAY DHOLAKIA & ASSOCIATES

Sanjay Digitally signed by
Rasiklal Sanjay Rasiklal
Dholakia Dholakia
Date: 2024.04.19
17:07:37 +05'30'

(SANJAY DHOLAKIA)

Practicing Company Secretary

Proprietor

(Peer Reviewed Certificate No.2036/2022)

Membership No. 2655

C P No. 1798

Date: 19th April, 2024

Place: Mumbai

UDIN: F002655F000190931

SHARE INWARD REGISTER

From: 01/04/2023 To: 31/03/2024

Printed on: 16/04/2024

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Sr No	Rcpt Date	Seller Folio	Buyer Folio	Buyer Name	Certificate No. Of Shares		Tran / Obj Date	Type	STS TUD	Despatch Date/No.	Days
					From	To					
1	TF29 23/02/2024	J0122	J02011	JAYA VASANT SONI 6, SWANAND BUILDING CHITRANJAN DAS ROAD NEAR GANESH PALACE, RAMNAGAR DOMBIVALI EAST, KALYAN, TILAKNAGAR THANE 421201	752	752	100 TF16	01/03/2024	TF	YYY 13/03/2024	20
2	TF30 30/03/2024	R0224	R02018	RUPA SAMEER SHAH	2602	2602	50 TF17		TF	YNN	17
3	TM15 30/08/2023	S1273	S02022	SAMIKSHA NIRAJ DESAI SHETH A/10, CLIFTON BIRLA LANE CORNER NEXT TO TULIP STAR HOTEL JUHU MUMBAI 400049	2313	2313	1000 OB38	09/09/2023	OB	YNY 11/09/2023	13
4	TM16 04/12/2023	K0655	B02009	BRIJESH PUNAMCHAND SHAH 301, GLENRIDGE 16 - RIDGE ROAD NEAR SAHYADRI GUEST HOUSE MALABAR HILL MUMBAI 400006	921	921	50 OB39	19/12/2023	OB	YNY 20/12/2023	17
5	TM17 03/01/2024	S1273	S02022	SAMIKSHA NIRAJ DESAI SHETH A/10, CLIFTON BIRLA LANE CORNER NEXT TO TULIP STAR HOTEL JUHU MUMBAI 400049	2313	2313	1000 TM6	23/01/2024	TM	YYY 01/02/2024	30

Page Total: 2200

SHARE INWARD REGISTER

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Sr No	Rcpt Date	Seller Folio	Buyer Folio	Buyer Name	Certificate No. Of Shares		Tran / Obj Date	Type	STS TUD	Despatch Date/No.	Days				
					From	To									
6	13/01/2024	TM18	K0655	B02009	BRIJESH SHAH 301, GLENRIDGE 16 - RIDGE ROAD NEAR SAHYADRI GUEST HOUSE MALABAR HILL MUMBAI 400006	921	921	50	TM7	31/01/2024	TM	YYY 09/02/2024	28	JN 26597909	IIN
7	20/07/2023	DL33	J0678	J02010	J GOPAL J DAYAL 41, OCEAN VIEW 66, DUMAYNE ROAD COLABA MUMBAI 400005	822	822	500	DL18	01/08/2023	DL	YYY 18/08/2023	30	JN 27659790	7IN

SHARE INWARD REGISTER

From: 01/04/2023 To: 31/03/2024

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Sr No	Rcpt Date	Seller Folio	Buyer Name	Certificate No.	Tran Shares	Obj Date	Type	STS TUD	Despatch Date/No.	Days
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Swadeshi Industries Leasing Co. Limited

SHARE INWARD REGISTER

From: 01/04/2023 To: 31/03/2024

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Printed On: 16/04/2024

***** BATCH CONTROLS*****

Total No.Of Shares: 2750
 Total No.Of Certificates: 7
 Total No.Of TD's: 7

*****TRANSACTIONS NO.OF SHARES CERTIFICATES*****

Total No.Of Transfers: 2 150 2
 Total No.Of Transmission: 2 1050 2
 Total No.Of Transposition: 0
 Total No.Of Deletion: 1 500 1
 Total No.Of Sub Div/Console/Duplicate: 0
 Total No.Of Demat: 0
 Total No.Of Remat: 0
 Total No.Of Objections: 2 1050 2
 Total No.Of Seller Notice: 0

*****NUMBER NO.OF Shares*****

Upto 15 Days: 1 1000
 Between 16 to 21 days: 3 200
 Above 21 Days: 3 1550
 Pending: 0

***** ABBREVIATIONS*****

TRANSFER: "TF"
 TRANSMISSION: "TM"
 TRANSPPOSITION: "TP"
 DELETION: "DL"
 SUB DIV/ CONSOLE/DUPLICATE: "TN"

All Clients

SHARE INWARD REGISTER

From: 01/04/2023 To: 31/03/2024

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***** BATCH CONTROLS*****

Total No.Of Shares: 2750
Total No.Of Certificates: 7
Total No.Of TD's: 7

*****TRANSACTIONS NO.OF SHARES CERTIFICATES*****

Total No.Of Transfers: 2 150 2
Total No.Of Transmission: 2 1050 2
Total No.Of Transposition: 0
Total No.Of Deletion: 1 500 1
Total No.Of Sub Div/Console/Duplicate: 0
Total No.Of Demat: 0
Total No.Of Remat: 0
Total No.Of Objections: 2 1050 2
Total No.Of Seller Notice: 0

***** NUMBER NO.OF Shares*****

Upto 15 Days: 1 1000
Between 16 to 21 days: 3 200
Above 21 Days: 3 1550
Pending: 0

***** ABBREVIATIONS*****

TRANSFER: "TF"
TRANSMISSION: "TM"
TRANSPPOSITION: "TP"
DELETION: "DL"
SUB DIV/ CONSOLE/DUPLICATE: "TN"

Page Total: 0

Grand Total: 2750

SHARE INWARD REGISTER

From: 01/04/2023 To: 31/03/2024

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Page: 1

Sr No	Rcpt Date	sellerFolio	BuyerFolio	Buyer Name	From	To	Certificate No. Of Shares	Tran No.	Obj Date	Type	STS TUD	Despatch Date/No.	Days
1	14/03/2024	R0224	R0224	RUPA JAYANTILAL SHETH 2 EVEREST 1ST FLOOR 12 PEDDER ROAD BOMBAY MUMBAI MUMBAI 400026	1676	1676	50	TN1	21/03/2024	TN	YY	30/03/2024	17
												JN 26838964	IIN

SHARE INWARD REGISTER

From: 01/04/2023 To: 31/03/2024

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Sr No	Rcpt Date	Seller Folio	Buyer Name	Certificate No.	Tran / Obj Date	Type	STS Date/No.	Despatch Days
		From	To	Shares No.			TUD	

Swadeshi Industries Leasing Co. Limited

SHARE INWARD REGISTER

From: 01/04/2023 To: 31/03/2024

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***** BATCH CONTROLS*****

Total No.Of Shares: 50
 Total No.Of Certificates: 1
 Total No.Of TD's: 1

*****TRANSACTIONS NO.OF SHARES CERTIFICATES*****

Total No.Of Transfers: 0
 Total No.Of Transmission: 0
 Total No.Of Transposition: 0
 Total No.Of Deletion: 0
 Total No.Of Sub Div/Console/Duplicate: 1 50 1
 Total No.Of Demat: 0
 Total No.Of Remat: 0
 Total No.Of Objections: 0
 Total No.Of Seller Notice: 0

***** NUMBER NO.OF Shares*****

Upto 15 Days: 0
 Between 16 to 21 days: 1 50
 Above 21 Days: 0
 Pending: 0

***** ABBREVIATIONS*****

TRANSFER: "TF"
 TRANSMISSION: "TM"
 TRANSPPOSITION: "TP"
 DELETION: "DL"
 SUB DIV/ CONSOLE/DUPLICATE: "TN"

All Clients

SHARE INWARD REGISTER

From: 01/04/2023 To: 31/03/2024

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Page: 3

***** BATCH CONTROLS*****

Total No.Of Shares: 50
Total No.Of Certificates: 1
Total No.Of TD's: 1

*****TRANSACTIONS NO.OF SHARES CERTIFICATES*****

Total No.Of Transfers: 0
Total No.Of Transmission: 0
Total No.Of Transposition: 0
Total No.Of Deletion: 0
Total No.Of Sub Div/Console/Duplicate: 1 50 1
Total No.Of Demat: 0
Total No.Of Remat: 0
Total No.Of Objections: 0
Total No.Of Seller Notice: 0

***** NUMBER NO.OF Shares*****

Upto 15 Days: 0
Between 16 to 21 days: 1 50
Above 21 Days: 0
Pending: 0

***** ABBREVIATIONS*****

TRANSFER: "TF"
TRANSMISSION: "TM"
TRANSPPOSITION: "TP"
DELETION: "DL"
SUB DIV/ CONSOLE/DUPLICATE: "TN"

Page Total: 0

Grand Total: 50