

Date: May 12, 2022

To,
Department of Corporate Services,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

To,
Listing Department,
National Stock Exchange of India
Limited
Exchange Plaza, C-1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400 051

Scrip Code: **543333**

Scrip Symbol: **CARTRADE**

ISIN: INE290S01011

Dear Sir(s),

Subject: Submission of Annual Secretarial Compliance Report for the financial year ended March 31, 2022:

Reference: Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations" 2015 ("Listing Regulations"):

Pursuant to Regulation 24A of the Listing Regulations read with SEBI Circular No. *CIR/CFD/CMD1/27/2019* dated February 8, 2019, we enclosed herewith Annual Secretarial Compliance Report dated May 4, 2022 issued by S.P. Imartey & Associates, Practicing Company Secretaries, for the financial year ended March 31, 2022.

The above document is available on the Company's website <https://www.cartradetech.com/>

Kindly take the same on record.

For CarTrade Tech Limited

Lalbahadur Pal
Company Secretary and Compliance officer
Mem. No. A40812

Enclosed a/a

S. P. Imartey & Associates, Company Secretaries

E-601, Ekta Vivek CHSL., Kandarpada, Near D'Mart, Link Road, Dahisar (West), Mumbai - 400 068.

E-mail :- spimarteyandassociates@gmail.com

SECRETARIAL COMPLIANCE REPORT OF CARTRADE TECH LIMITED FOR THE YEAR ENDED MARCH 31, 2022

To,
The Members,
Cartrade Tech Limited,
12th Floor Vishwaroop IT Park Sector 30A,
Vashi Navi Mumbai - 400705

I have examined

- (a) all the documents and records made available to us and explanation provided by Cartrade Tech Limited ("listed entity");
- (b) the filings/ submissions made by the listed entity to the stock exchanges;
- (c) website of the listed entity;
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable to the listed entity during the Review Period);
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 (Not applicable to the listed entity during the Review Period);

S. P. Imartey & Associates, Company Secretaries

E-601, Ekta Vivek CHSL., Kandarpada, Near D'Mart, Link Road, Dahisar (West), Mumbai - 400 068.

E-mail :- spimarteyandassociates@gmail.com

- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not applicable to the listed entity during the Review Period); and
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder;

And based on the above examination, I hereby report that, during the Review period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder;
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars guidelines issued thereunder in so far as it appears from our examination of those record;
- (c) There were no actions taken against the listed entity its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/guidelines issued thereunder.
- (d) This is the first report issued to the listed entity post listing and therefore no action was required to be taken for any previous reports.
- (e) The reporting of clause 6(A) and 6(B) of the circular no. CIR/CFD/CMD1/114/2019 dated October 18, 2019 issued by the Securities and Exchange Board of India on "Resignation of statutory auditors from listed entities and their material subsidiaries" is not applicable during the Review Period.

For S P Imartey & Associates,
Company Secretaries

Shriram Prabhakar Imartey
Digitally signed by Shriram Prabhakar Imartey
Date: 2022.05.04 23:33:11 +05'30'

Shriram P. Imartey
Practising Company Secretary
Mem. No.: F 5933
C. P. No.: 4545
UDIN: F005933D000270131
Date: 04/05/2022
Place: Mumbai