



BSE Limited Phiroze JeeJeeBhoy Towers, Dalal Street, <u>Mumbai – 400 001</u>	National Stock Exchange of India Ltd., “Exchange Plaza”, 5 th Floor, Plot No. C-1, G Block, Bandra-Kurla Complex, Bandra (E) <u>Mumbai: 400 051</u>
SCRIP CODE: 523367	SCRIP CODE: DCM SHRIRAM

Kind Attn. : Department of Corporate Communications/ Head Listing Department

Sub : Certificate Under Regulation 40(9)

Dear Sirs,

Pursuant to the SEBI (Listing Obligations and Disclosure Requirement) Regulation, 2015, we enclose herewith a copy of the certificate dated 6.5.2020 for the half year ended 31st March, 2020 from a Practising Company Secretary.

Please arrange to take the above information on your records.

Yours faithfully,

(Sameet Gambhir)
Company Secretary

Dated : 6.5.2020

Encl: As above

DCM SHRIRAM LTD.

Registered and Corporate Office: 2nd Floor (West Wing), Worldmark 1, Aerocity, New Delhi - 110037, India
Tel: +91 11 42100200 e-mail: response@dcmsriram.com website: www.dcmsriram.com
CIN No. L74899DL1989PLC034923



**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015 ("LISTING REGULATIONS")**

FOR THE HALF YEAR ENDED 31ST MARCH, 2020

I have examined all the relevant documents and records relating to **DCM Shriram Limited** (the "Company"), having registered office at 2nd Floor (West Wing), Worldmark -1, Aerocity, New Delhi -110037, maintained by MCS Share Transfer Agent Limited pertaining to transfer of equity shares of the Company for the period from **1st October 2019 to 31st March 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations") and based on the information and explanation provided by the Company, I hereby certify, for the half year ended on **31st March, 2020**, that:

- A) there was no request for transfer of shares received during the period from **1st October 2019 to 31st March 2020** and the share certificate(s) relating to transmission of shares have been issued within the period stipulated in the Listing regulations from the date of lodgment as mentioned in the Annexure; and
- B) there was no request for Share certificate relating to sub-division, consolidation, renewal, exchange received during the period from **1st October 2019 to 31st March 2020** and the share certificate(s) relating to request for duplicate issue have been completed within the period stipulated in the Listing regulations from the date of lodgment.

**For Mukta Kheterpal & Co
Company Secretaries**


**(Mukta Kheterpal)
Proprietor**

C.P No-15699

UDIN: 020500B000208039



Date : May 6, 2020

Place : New Delhi

**Mukta Kheterpal & Co.
Company Secretaries**



DCM Shriram Limited

Details of transmission cases of securities during the period 1/10/2019 to 31/3/2020

Type of Securities	Number of transmission cases received	Number of Complete transmission cases	Number of transmission cases approved	Number of transmission cases pending
Equity Shares	15 Cases having 9430 Equity shares	15 Cases having 9430 Equity shares	15 Cases having 9430 Equity shares	NIL



Mukta