

January 15, 2021

BSE Limited
Department of Corporate Services
1st Floor, New Trading Ring
Rotunda Building, P J Towers
Dalal Street, Fort,
Mumbai 400001

National Stock Exchange of India Limited
Exchange Plaza
Plot No.C-1, G Block
Bandra-Kurla Complex
Bandra (East)
Mumbai – 400 051

Security Code: 523405

Symbol: JMFINANCIL

Dear Sirs,

Re: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended December 31, 2020.

We are enclosing the certificate of compliance under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 as received by us from our Registrar and Share Transfer Agents, viz., KFin Technologies Private Limited, for the quarter ended December 31, 2020.

Kindly acknowledge receipt of the above Certificate.

Thank you.

Yours faithfully,
for JM Financial Limited



Prashant Choksi
Group Head – Compliance, Legal
& Company Secretary

Encl. As above.

Ref : KFPL/TBS/74(5)E/L

Date : January 15, 2021

To,

Bombay Stock Exchange Limited

Phi rozeJeejeebhoy Towers,
Dalal Street,
Mumbai- 400001

BSE Scrip Code: 523405

To,

National Securities Depository Limited

Trade World, 4th Floor Kamala Mills Compound
Senapati Bapat Marg, Lower Parel
Parel (East) Mumbai – 400 013

Dear Sir(s)

Sub : Certificate under Regulation 74 (5) for the Period 1st October 2020 to 31st December, 2020

We as Registrars, Share Transfer and Depository Services agents of the **JM FINANCIAL LTD**, certify that the details of securities dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

We hereby also confirm that the security certificates received for dematerialization have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as the registered owner within 15 days.

We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully,

For **KFin Technologies Private Limited**



Sunil Ponugoti
Deputy Manager

To,

National Stock Exchange of India Ltd.

Exchange Plaza, C-1 Block G,
Bandra-Kurla Complex,
Bandra (E) Mumbai - 400 051

NSE Scrip Code: JMFINANCIL

To,

Central Depository Services (India) Ltd

Regd Off : Marathon Futurex A wing
25th Floor, NM Joshi Marg, Lower
Mumbai – 400 023

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649