

# V.B. Desai Financial Services Limited

Category I Merchant Banker - SEBI Registration No. INM 000002731

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January 5, 2021

The Manager  
Corporate Relation Department  
BSE Limited  
P.J. Towers, Dalal Street,  
Fort, Mumbai – 400 001

Dear Sir,

**Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018  
for the Quarter ended December 31, 2020**

In compliance with Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018, we are forwarding herewith a copy of the Certificate dated January 1, 2021, received from M/s. Purva Sharegistry India Private Ltd., the Registrar and Transfer Agent of the Company, for the Quarter ended December 31, 2020.

Kindly take the same on your record.

Thanking you,

Yours faithfully,  
For V.B. DESAI FINANCIAL SERVICES LIMITED



Kamlesh M Gagvani  
Company Secretary  
Encl: as above



# PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

Service Tax No. AAACP4924D ST001 • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Lower Parel East, Mumbai - 400 011  
Tel : 2301 2518 / 2301 6761 • Fax : 2301 2517 • Email : support@purvashare.com • Web : www.purvashare.com

01/01/2021

To,

V.B. DESAI FINANCIAL SERVICES LTD.

Dear Sir/Madam.

**Sub: Request for confirmation certificate as per Regulation 74(5) of SECURITIES AND EXCHANGE BOARD OF INDIA (DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 2018**

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that we have complied with the following within 15 days of receipt of the certificate(s) of security from the Depository Participant(DP):

- The securities comprised in the certificate(s) of Security dematerialised, have been listed on stock exchanges where the earlier issued securities are listed.
- After due verification, immediately mutilated and cancelled the certificate(s) of security received for dematerialisation.
- Substituted in our records the name of the Depository as the registered owner and had sent a certificate to this effect to the Depositories and to every stock exchange on a monthly basis, where the security is listed.

Thanking you,

Yours faithfully,

For Purva Share Registry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.