

SD/F24/ 119 /2020-21

October 9, 2020

The Manager Listing Department The National Stock Exchange of India Limited Exchange Plaza, Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051	The Manager Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers, Floor 25, Dalal Street, Mumbai – 400 001
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Re.: Scrip Symbol: FEDERALBNK/Scrip Code: 500469

Sub: Report by The Federal Bank Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

In compliance with SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2019/82 dated 19th July, 2019, a report for the aforesaid violation of two designated persons is annexed as Annexure 'A' in accordance with SEBI (Prohibition of Insider Trading) Regulations, 2015.

Kindly take the information on record.

Thanking you,
Yours faithfully,

For The Federal Bank Limited

Samir P Rajdev
Company Secretary

Annexure A

Report by (The Federal Bank Limited) for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr.No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	The Federal Bank Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	Name of the Designated Person (DP) Name of the immediate relative of DP if reporting for immediate relative	JOSEMON P DAVID
4.	PAN of the DP PAN of the immediate relative of DP if reporting for immediate relative	ADQPD7784B
5.	Designation of DP	Deputy Vice President
6.	Functional Role of DP	Deputy Vice President Kozhikode Zonal Office.
7.	Whether DP is Promoter / Promoter Group/ holding CXO level position (Eg: CEO, CFO, CTO etc)	No
8	Transaction Details	
	a. Name of the scrip	The Federal Bank Ltd.
	b. No of shares traded (which includes pledge) and value (Rs.) (Date- wise)	1. Sell of 1000 shares between 21.08.2020 and 28.08.2020 of value Rs. 50000(approx.) 2. Buy of 50 shares between 11.09.2020 and 18.09.2020of value Rs. 2500 (approx.)
9.	In case value of trade(s) is more than Rs.10 lacs in a calendar quarter-	NA
	a. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	
	b. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA

10.	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations:	Contra trade (violation of sub-regulation (1)of regulation 9 read with Schedule B of SEBI PIT Regulations 2015)
11.	Action taken by Listed company/ Intermediary/ Fiduciary	Cautionary Letter issued to the employee giving strict warning.
12.	Reasons recorded in writing for taking action stated above	The contra trade was only of 50 shares valued at around Rs. 2500 and employee violated for the first time out of oversight. There was no malafide intention.
13.	Details of the previous instances of violations, if any, since last financial year	Nil
14.	Any other relevant information	Nil

Yours faithfully,

Date and Place: 09.10.2020, Ernakulam

Name and Signature of Compliance Officer: Samir P Rajdev
PAN: AAXPR2515A
Email ID: samirpr@federalbank.co.in

Annexure A

Report by (The Federal Bank Limited) for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr.No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	The Federal Bank Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	Name of the Designated Person (DP) Name of the immediate relative of DP if reporting for immediate relative	Devassykutty B A
4.	PAN of the DP PAN of the immediate relative of DP if reporting for immediate relative	ADIPD0688E
5.	Designation of DP	Senior Manager
6.	Functional Role of DP	Senior Manager, Loan Collection & Recovery Dept.
7.	Whether DP is Promoter / Promoter Group/ holding CXO level position (Eg: CEO, CFO, CTO etc)	No
8	Transaction Details	
	a. Name of the scrip	The Federal Bank Ltd.
	b. No of shares traded (which includes pledge) and value (Rs.) (Date- wise)	1. Sell of 900 shares between 31.07.2020 and 07.08.2020 for Rs. 45000 (approx.) 2. Buy of 1000 shares between 25.09.2020 and 02.10.2020 Rs 50000 (approx.)
9.	In case value of trade(s) is more than Rs.10 lacs in a calendar quarter-	NA
	a. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	
	b. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA

10.	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations:	Contra trade (violation of sub-regulation (1)of regulation 9 read with Schedule B of SEBI PIT Regulations 2015)
11.	Action taken by Listed company/ Intermediary/ Fiduciary	Cautionary Letter issued to the employee giving strict warning
12.	Reasons recorded in writing for taking action stated above	The contra trade was only of 1000 shares valued at around Rs. 50000 and employee violated for the first time out of oversight. There was no malafide intention.
13.	Details of the previous instances of violations, if any, since last financial year	Nil
14.	Any other relevant information	Nil

Yours faithfully,

Date and Place: 09.10.2020, Ernakulam

Name and Signature of Compliance Officer: Samir P Rajdev
PAN: AAXPR2515A
Email ID: samirpr@federalbank.co.in