



Goldiam International Ltd

MANUFACTURERS & EXPORTERS OF DIAMONDS & JEWELLERY

CIN:L36912MH1986PLC041203

November 28, 2022

To, BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400 001. Scrip Code: 526729	To, National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Mumbai- 400 051. Scrip Code: GOLDIAM EQ
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Dear Sir/Madam,

Sub: Reporting of violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

This is in reference with SEBI circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020 ("SEBI Circular"). The Company hereby report violation related to its Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 by one of its designated person.

Details of the violation are provided as per **Annexure-A** of the SEBI Circular and is enclosed. You are requested to take the same on record.

Yours faithfully,
For **Goldiam International Limited**

PANKAJ
PARKHIYA
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Digitally signed by PANKAJ PARKHIYA
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Date: 2022.11.28 16:24:03 +05'30'

Pankaj Parkhiya
Company Secretary & Compliance Officer (ACS 30395)

Registered Office

Gems & Jewellery Complex, Santacruz Electronics Export Processing Zone, Andheri (East), Mumbai-400096. India
Phones: (022) 28291893/28290396/28292397 Fax : (022) 28292885
Email:- investorrelations@goldiam.com Website: www.goldiam.com



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Annexure A

Report by GOLDIAM INTERNATIONAL LIMITED for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details
1.	Name of the listed company/Intermediary/ Fiduciary	GOLDIAM INTERNATIONAL LIMITED
2.	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	
3.	A. Details of Designated Person (DP)	
	i. Name of the DP	Jignesh K Mehta
	ii. PAN of the DP	AAEPM9494J
	iii. Designation of DP	Diamond-Buyer Head
	iv. Functional Role of DP	Diamond Buyer
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Heena Jinesh Mehta
	ii. PAN of the immediate relative of DP	AGRPM6775H
	C. Details of transaction(s)	
	i. Name of the scrip	GOLDIAM / 526729
	ii. No of shares traded and value (Rs.) (Date - wise)	19.05.2022 – Bought 400 equity shares for Rs.61,314/- in Jignesh K Mehta demat account
		16.11.2022 – sold 80 equity shares for Rs. 10288.80 from Heena Jinesh Mehta demat account.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to	NA

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	Company under regulation 7 of SEBI (PIT) Regulations, 2015	
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4.	Details of violations observed under Code of Conduct	Contra Trade during window open period.
5.	Action taken by Listed company/Intermediary/ Fiduciary	A warning letter is issued to Mr. Jinesh K Mehta for strictly adhering to the Code of Conduct for prevention of Insider Trading to avoid situations where the Company can levy penalties and take strict disciplinary actions.
6.	Reasons recorded in writing for taking action stated above	Violation of SEBI insider Trading Regulations. Designated person clarified that he transacted out of inadvertence. Further, He said transaction was not undertaken to violate the Insider Trading Code but was inadvertently and erroneously done by him without any mala fide intention of violating the same.
7.	Details of the previous instances of violations, if any, since last financial year	No previous violation
8.	If any amount collected for Code of Conduct violation(s)	N.A.
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	N.A.

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	ii. Details of transfer/payment in case of Online: N.A.									
	<table border="1"><thead><tr><th>Particulars</th><th>Details</th></tr></thead><tbody><tr><td>Name of the Transferor</td><td rowspan="6">N.A.</td></tr><tr><td>Bank Name, Branch and Account Number</td></tr><tr><td>UTR/Transaction reference Number</td></tr><tr><td>Transaction Date</td></tr><tr><td>Transaction Amount (in Rs.)</td></tr></tbody></table>	Particulars	Details	Name of the Transferor	N.A.	Bank Name, Branch and Account Number	UTR/Transaction reference Number	Transaction Date	Transaction Amount (in Rs.)	
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Bank Name, Branch and Account Number										
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		In Case of Demand Draft (DD) :								
	<table border="1"><thead><tr><th>Particulars</th><th>Details</th></tr></thead><tbody><tr><td>Bank and Branch</td><td rowspan="4">N.A.</td></tr><tr><td>DD Number</td></tr><tr><td>DD Date</td></tr><tr><td>DD Amount (in Rs.)</td></tr></tbody></table>	Particulars	Details	Bank and Branch	N.A.	DD Number	DD Date	DD Amount (in Rs.)		
Particulars	Details									
Bank and Branch	N.A.									
DD Number										
DD Date										
DD Amount (in Rs.)										
9.	Any other relevant information	-								

Date: November 28, 2022

For **Goldiam International Limited**

**PANKAJ
PARKHIY
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