

January 20, 2020

<p>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. BSE Scrip Code: 542772</p>	<p>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. NSE Symbol: IIFLWAM</p>
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Dear Sir/Madam,

**Sub: - Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018**

Dear Sir(s)/Madam(s),

Please find enclosed herewith Certificate dated January 04, 2020 received from Link Intime India Pvt. Ltd., Registrar and Share Transfer Agent of the Company, for the period from October 01, 2019 to December 31, 2019. This report is submitted in compliance with Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Request you to take the same on record and oblige.

Kindly take the same on record and acknowledge.

Thanking You,

Yours faithfully,

For IIFL Wealth Management Limited

  
  
**Ashutosh Naik**  
Company Secretary & Compliance Officer  
Email Id: [secretarial@iiflw.com](mailto:secretarial@iiflw.com)

**IIFL WEALTH MANAGEMENT LIMITED**

Corporate & Registered Office:  
IIFL Centre, Kamala City, Senapati Bapat Marg,  
Lower Parel, Mumbai – 400 013  
TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606

(An IIFL Group Company)

[www.iiflwealth.com](http://www.iiflwealth.com)

CIN: U74140MH2008PLC177884

04th January, 2020

To,  
Company Secretary  
IIFL Wealth Management Limited  
IIFL Centre, Kamala City Senapati Bapat Marg,  
Lower Parel  
Mumbai – 400 013.

Subject: **Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India( Depositories and Participants) Regulations, 2018.**

Sir/Madam,

In reference to the above – captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December,2019, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as the registered owner within 15 days.

We request you to kindly take note of the above in your records.

Thanking you,  
For Link Intime India Private Limited



Authorised Signatory