SWAGTAM TRADING & SERVICES LIMITED

Regd. Office: R-489, GF-A, New Rajinder Nagar, New Delhi – 110060
Tel: 011-42475489 E-mail: swagtam1984@gmail.com Website: www.swagtam.com CIN: L51909DL1984PLC289131

Annexure-1

20.04.2021

SUB :

Non-applicability Declaration of Reg. 32 of SEBI (LODR) Regulations, 2015 (Statement of Deviation or Variation for proceeds of public issue, rights issue, preferential issue, QIP)

Dear Sir/Madam,

Pursuant to the Regulation 32 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Company hereby confirms, that there has been NO deviation(s) or variation(s) in the use of the public issue proceeds raised from the Initial Public Offer (IPO).

We further confirm that during the last 3 Financial Years, there is No Change in Share Capital:

o Buy Back of Securities :

Company has not bought back any of its securities.

Sweat Equity Shares

Company has not issued any Sweat Equity Shares.

Bonus Shares

No Bonus Shares were issued during the year.

o Public Issue, Rights Issue, Preferential Issue, QIP, Preference Shares/ Debentures :

Company has not issued any Public Issue, Rights Issue,

Preferential Issue, QIP, Preference Shares/ Debentures, etc.

o Employees Stock Option Plan: Company has not provided any Stock Option Scheme.

Hence, the Reg. 32 of SEBI (LODR) Regulations, 2015 i.e. (Statement of Deviation or Variation for proceeds of public issue, rights issue, preferential issue, QIP) is <u>NOT APPLICABLE</u> to the Company.

We request you to kindly take note of this information on your record and acknowledge.

Kindly advise if we have to submit any other information, papers, documents, etc Kindly take it on record, do the needful & oblige.

For SWAGTAM TRADING AND SERVICES LIMITED

SUMIT GUPTA

Director - DIN: 06911742

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