

**Ref:** UTI/AMC/CS/SE/2021-22/060

**Date:** 6<sup>th</sup> April, 2021

**National Stock Exchange of India Limited**

Exchange Plaza Plot No. C/1  
G Block Bandra-Kurla Complex  
Bandra (East) Mumbai – 400051  
Scrip Symbol: UTIAMC

**BSE Limited**

Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai - 400 001  
Scrip Code/Symbol: 543238/UTIAMC

**Sub: Certificate pursuant to Regulation 40 (9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

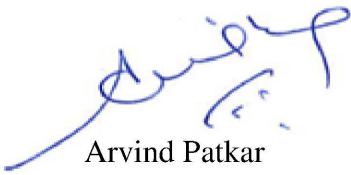
Dear Sir/ Madam,

Pursuant to Regulation 40 (9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the Certificate dated 5<sup>th</sup> April, 2021 issued by a Practicing Company Secretary for the half year ended 31<sup>st</sup> March, 2021.

We request you to kindly take the aforesaid information on record and disseminate the same on your respective websites.

Thanking you,

**For UTI Asset Management Company Limited**



Arvind Patkar

**Company Secretary and Compliance Officer**



**Encl.:** As above



**VISHAL N. MANSETA** (B.Com, A. C. S.)

Practicing Company Secretary

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Web : [www.vishalmanseta.com](http://www.vishalmanseta.com) Email : [vishal\\_manseta@rediffmail.com](mailto:vishal_manseta@rediffmail.com) / [vishal@vishalmanseta.com](mailto:vishal@vishalmanseta.com)

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR  
THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2021**

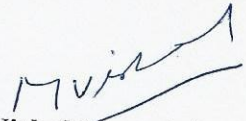
I have examined the relevant Books, Registers, files and other documents relating to transfer of shares of **UTI Asset Management Company Limited** maintained by **KFin Technologies Private Limited** (formerly known as "Karvy Fintech Private Limited") pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, by **UTI Asset Management Company Limited** and based on the information provided by the Registrar's to the Company, I hereby certify that:

- A) No physical Share Certificate(s) along with the Share Transfer form(s) were lodged during the period from **01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021**.
- B) Any other information if applicable - NIL.

Place: Mumbai  
Date: April 05, 2021  
UDIN: A025183C000022279



For Vishal N. Manseta  
COMPANY SECRETARY

  
(Vishal Manseta)  
M. No.: 25183  
C.P. No.: 8981