

May 20, 2019

To  
The Corporate Relationship Dept.  
BSE Limited  
P. J. Towers, Dalal Street  
Mumbai -400 001

**BSE Company Code: 500214**

**Sub: Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2019.**

Dear Sir/Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with the SEBI Circular dated 8<sup>th</sup> February, 2019, please find enclosed Annual Secretarial Compliance Report of the Company for the year ended 31<sup>st</sup> March, 2019 issued by Mr. V.V Chakradeo, Practicing Company Secretary.

Kindly take the same on record.

**Yours Faithfully,  
For Ion Exchange (India) Limited**



**Milind Puranik  
Company Secretary**

**V. V. CHAKRADEO & CO.**

**COMPANY SECRETARIES**

**B - 301, Matoshree Residency CHS, 65, Prarthana Samaj Road,  
Vile Parle (East), Mumbai 400 057.**

TEL. NO. 26116821  
CELL NO. 98200 48732

Email:vvchakra@gmail.com

**Secretarial compliance report of Ion Exchange (India) Limited for the year  
Ended 31<sup>st</sup> March, 2019**

I have examined:

- (a) all the documents and records made available to us and explanation provided by Ion Exchange (India) Limited (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> march 2019 (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 - Not Applicable
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 - Not Applicable
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 - Not Applicable
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 - Not Applicable



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- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) .....Other regulations as applicable  
and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:- Not Applicable

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
	NA		

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
	NA			

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:



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Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
	NA			

Date: 16<sup>th</sup> May, 2019

Place: Mumbai

FOR V. V. CHAKRADEO & CO.  
Company Secretaries

  
V. V. CHAKRADEO  
PROPRIETOR  
COP 1705

