



Regd Off : 301 Camps Corner , Opp SRP Group 4, Near D Mart Makarpura, Baroda 390010, Gujarat ,India. 02656569067,
CIN L656910GJ1992PLC093816, www.alexanderstampsandcoin.com, Email rudrakshcaptech@gmail.com.

To,

Date: 16th April 2021

Listing Compliance

BSE Limited Phiroze Jeejeebhoy Towers,

Dalal Street, Mumbai - 400 001,

Maharashtra, India.

Scrip Code: **511463**

Dear Sir/Madam,

Sub: Submission of Annual Secretarial Compliance Report for the year ended March 31, 2020

Pursuant to Regulation 24A of the Listing Regulations read with SEBI Circular CIR/CFD/CMD1/27/2019 dated February 8, 2019, we are submitting herewith the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2020, issued by M/s D Patel & Associates, Practicing Company Secretaries, Vadodara.

This is for your information and records.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For, Alexander Stamps and Coin Limited

Anirudh Sethi

Manging Director

DIN: 06864789

Encl: a/a

CS Divyesh Patel
[FCS, MBA(Finance), PhD Scholar]
Road,
csdpatel@gmail.com
(M):9998685685



D Patel & Associates
A-3, Indravilla, Bill Canal
Atladra-Padra Road,
Vadodara-391410

**Secretarial Compliance Report of Alexander Stamps and Coin Limited
for the year ended on 31st March, 2020**

To,
Alexander Stamps & Coin Limited
CIN: L74110GJ1992PLC093816
301 Camps Corner,
Opp SRP group 4
Near D Mart Makarpura,
Makarpura Road, Vadodara
Vadodara GJ 390010 IN

I have examined:

- all the documents and records made available to us and explanation provided by Alexander Stamps & Coin Limited ("the listed entity"),
- the filings/submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/filing, as may be relevant, which has been relied upon to make this certification.

for the year ended 31st March, 2020 ("Review Period") in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not applicable to the Company during the Audit period)**
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not applicable to the Company during the Audit period)**
- Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not applicable to the Company during the Audit period)**



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- (g) Securities and Exchange Board of India(Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations,2013; **(Not applicable to the Company during the Audit period)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Act and dealing with client.

Circulars/ guidelines issued thereunder and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Appointment of the key managerial personnel as required by Sec 203 of the Companies Act, 2013	Yes	It is observed that the company has not appointed Managing Director, chief financial Officer, during the financial ended 31-03-2020. However statutory compliances are guided by the corporate law advisor.
2	Compliance of the Listing Requirements	Yes	The company has delayed in filing the compliances under the listing Agreement, Companies Act 2013 but the filings are affected in terms of LODR may be lacking in accordance with the resource base spirit reflected in the listing requirements. Like Newspaper Advertisements etc.
3	Maintenance of the statutory records, registers, books with updation under the various provisions of Companies Act 2013 & Governing Rules.	Yes	It is observed that the company has not updated the registers, records, Minutes, books under the various provisions of the Companies Act 2013 and rules framed there under. Relevant to FY 2019-20 including the Minutes of board meeting and meeting of the General meeting of members.



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- (b) The listed entity has not maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) There is no actions taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued there under.

Place: Vadodara

Date: 15.4.2021



CS Divyesh Patel

FCS No.: F10845

C P No.: 15027

UDIN: F010845C000096361