

October 13, 2020

BSE Limited  
1st Floor, New Trading Ring  
Rotunda Building  
P J Towers, Dalal Street, Fort  
Mumbai 400 001

National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No.C/1, G Block  
Bandra-Kurla Complex  
Bandra(E), Mumbai 400 051

SCRIP CODE : 500477

SCRIP CODE : ASHOKLEY

Through : BSE Listing Centre

Through : NEAPS

Dear Sirs,

**Sub : Compliance Certificate**

Pursuant to Regulation 40(9&10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 enclosed please find certificate from Practising Company Secretary for the period from April 1, 2020 to September 30, 2020 in respect of transfer/ transmission of securities etc. of the Company.

Thank you.

Yours faithfully,  
for ASHOK LEYLAND LIMITED



N Ramanathan  
Company Secretary

Encl: a/a

**ASHOK LEYLAND LIMITED**

Registered & Corporate Office: No.1, Sardar Patel Road, Guindy, Chennai - 600 032, India | T : +91 44 2220 6000 | F : +91 44 2220 6001  
CIN - L34101TN1948PLC000105 | [www.ashokleyland.com](http://www.ashokleyland.com)

10<sup>TH</sup> October, 2020

**COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

For the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020

ISIN: INE 208A01029

SECURITY CODE:500477

The Securities and Exchange Board of India vide gazette notification dated 08<sup>th</sup> June, 2018 and its press release on 03<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities could be carried out in dematerialized form only w.e.f. 01st April, 2019. Further SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deed(s) lodged prior to the above deadline and returned due to deficiency in the document(s) may be re-lodged for transfer even after the stipulated deadline of 01<sup>st</sup> April, 2019.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **Messrs. Ashok Leyland Limited (CIN: L34101TN1948PLC000105)** having its Registered Office at No.1, Sardar Patel Road, Guindy, Chennai 600 034, maintained by its Registrar and Share Transfer Agent viz., **M/s. Integrated Registry Management Services Private Limited** having its office at II Floor, "Kences Towers", No.1, Ramakrishna Street, North Usman Road, T. Nagar, Chennai – 600 017 of the company for the period from **01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Uniform Listing Agreement entered into by **Messrs. Ashok Leyland Limited** with the **BSE Limited** and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the Company has in relation to the half year ended **30<sup>th</sup> September, 2020** has not received any request(s) for Transfer, Split, Transposition and Consolidation of share certificate(s).

During the aforementioned period, **Ashok Leyland Limited** has received requests for Transmission of shares, change of name and issue of Duplicate shares certificates after approval has been delivered within the stipulated time period as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.



For R. SRIDHARAN & ASSOCIATES  
COMPANY SECRETARIES

CS R.SRIDHARAN

C.P.No.3239

FCS No.4775

UIN: S2003TN063400

UDIN: F004775B000904465

ASHOK LEYLAND LIMITED