

AVADH/SE/2021-22/03

12<sup>th</sup> April, 2021

The Manager  
Listing Department  
National Stock Exchange of India Limited  
'Exchange Plaza'  
C - 1, Block G, Bandra-Kurla Complex  
Bandra (E)  
Mumbai 400051  
**SYMBOL – AVADHSUGAR**

The Manager  
Listing Department  
BSE Ltd.  
1st Floor, New Trading Ring  
Rotunda Building, P.J. Towers  
Dalal Street, Fort  
Mumbai-400 001  
**STOCK CODE - 540649**

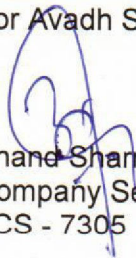
Dear Sirs,

**Sub : Compliance Certificate for the half year ended 31<sup>st</sup> March, 2021**

In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate dated 12<sup>th</sup> April, 2021 received from M/s. S. M Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal etc. for the half year ended 31<sup>st</sup> March, 2021.

Thanking you,

Yours faithfully,  
For Avadh Sugar & Energy Limited

  
Anand Sharma  
Company Secretary  
FCS - 7305



Encl – as above



**K.K. BIRLA GROUP OF SUGAR COMPANIES**

Corporate Office: 5th Floor, Birla Building, 9/1 R. N. Mukherjee Road, Kolkata 700 001 • Email: [birlasugar@birla-sugar.com](mailto:birlasugar@birla-sugar.com)

Phone: +91 33 2243 0497 / 8, 2248 7068, 3057 3700, 3041 0900 • Fax: +91 33 2248 6369

Regd Office: P.O. Hargaon, Dist. Sitapur, U.P., PIN 261 121 • Website : [www.birla-sugar.com](http://www.birla-sugar.com) • CIN: L15122UP2015PLC069635

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Website: www.smgupta.com

Offi. :2236 1385,2236 7135  
Phones:Resi. :2534 8148  
Mobile :98300 57568

**S. M.GUPTA & CO.**  
COMPANY SECRETARIES  
P-15 BENTINCK STREET,CALCUTTA-700 001

Ref. No.....

Dated.....

**CERTIFICATE**  
**FOR THE HALF YEAR ENDED 31.03.2021**


We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. Avadh Sugar & Energy Limited (CIN:L15122UP2015PLC069635)** maintained by them and their Share Transfer Agents M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from **01.10.2020** to **31.03.2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations,2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of remote audit, we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) received during the period from **01.10.2020** to **31.03.2021** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for Transmission cases, sub-division, consolidation, renewal, exchange, duplicate or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment.

The Certificate is being issued under the precautionary measures of work from home due to COVID-19 with limited resources available to us.

Place: Kolkata  
Date : 12.04.2021



Signature : 

Name : S.M.GUPTA  
Membership No : FCS-896  
C. P. Number : 2053  
UDIN: **F000896C000058316**