



MISHTANN

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May 31, 2019

To General Manager-Listing Corporate Relationship Department BSE Limited P.J. Towers Dalal Street, Mumbai-400001 BSE Scrip Code: 539594	To Listing Division, Metropolitan Stock Exchange of India Limited 4 th Vibgyor Tower, Opp. Trident Hotel, Bandra-Kurla Complex, Mumbai-400098 MSE Symbol: MISHTANN
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Dear Sir/Madam,

**Sub: Submission of Annual Secretarial Compliance Report for the year ended
March 31, 2019**

Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019 we enclose herewith Annual Secretarial Compliance Report for the financial year ended 31 March, 2019 duly issued by Mrs. Rupal Patel, Practicing Company Secretary.

Please take the same on your records.

Thanking You.

Yours Truly,
For **Mishtann Foods Limited,**



Sonu Jain
Company secretary & Compliance Officer

 **MISHTANN FOODS LIMITED**

REGISTERED OFFICE:

C-808, Ganesh Maridian,
Opp. Gujarat High Court,
S.G. Highway, Ahmedabad-380060.

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Fax: +91 7940033116
info@mishtann.com

PLANT:

Survey No.10, At Kabodari,
Himatnagar - Dhansura Highway, Ta. Talod,
Dist. Sabarkantha-383305, Gujarat, India

CIN NO. : L15400GJ1981PLC004170

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**Secretarial compliance report of Mishtann Foods Limited for the year ended 31st
March, 2019**

I, Rupal Patel, Practising Company Secretary, having office at 303. Prasad Tower, Opp. Jain Derasar, S.M. Road, Nehrunagar Char Rasta, Ahmedabad – 380015 have examined:

- (a) all the documents and records made available to us and explanation provided by Mishtann Foods Limited (“the listed entity”) having registered office at C-808, Ganesh Meridian Opp. High Court of Gujarat, S. G. Highway Ahmedabad - 380060,
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March, 2019 in respect of compliance with the provisions of :
 - (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued there under; and
 - (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; As per the information provided by the management of the Company, the same is not applicable, as no instance of Buyback have been noted during the year under review;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; As per the information provided by the management of the Company, the same is not applicable, as no instance of Share Based Employee Benefits have been noted during the year under review
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; As per the information provided by the management of the Company, the same is not applicable, as no instance of Issue and Listing of Debt Securities have been noted during the year under review
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; As per the information provided by the management of the Company, the same is not applicable, as no instance of Issue and Listing of Non-Convertible and



Redeemable Preference Shares have been noted during the year under review

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
and circulars/ guidelines issued there under;

and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	CIR/OIAE/1/2014 dated December 18, 2014	Pending investor grievances through SEBI Complaints Redress System (SCORES) platform	The Company has made correspondence to the complainant for redressal of Investor grievance.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	BSE Limited	Surveillance Measure	Additional Surveillance Measures (ASM)	As per our understanding and explanation



			imposed on Equity Shares of the Company vide BSE notice no. 20190131-29 dated 31 st January, 2019	given by the Company, it is market driven factor

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: Not Applicable as this is the first year of issuance of this report:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NA				

Note: The Company has provided all relevant declaration signed by Managing Director of the Company as mentioned in guidance note issued by the Institute of Company Secretaries of India in April, 2019. However, Company Secretary of the Company has showed her unwillingness to sign the same in her capacity as a Compliance Officer of the Company vide email dated 30th May, 2019.

Date: 30/05/2019

Place: Ahmedabad

Signature:

Name of Practicing Company Secretary: Rupal Patel

C. P. No.: 3803

FCS No. 6275

