



SMIFS CAPITAL MARKETS LIMITED

Date: 24-02-2023

To
The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

Sub: Intimation for loss of share certificate under Regulation 39(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

Pursuant to Regulation 39(3) of the SEBI Listing Regulations, 2015 this is to inform you that the Company has received intimation on 24th February, 2023, from our Registrar and Share Transfer Agent Viz., M/S Maheshwari Datamatics Pvt. Ltd. for loss of shares/ issue of duplicate shares from the shareholders as per details given below:

| Sl No. | Name of the shareholder(s) | No. of Shares | Folio No. | Share Certificate No. | Distinctive Nos. | |
|--------|----------------------------|---------------|-----------|-----------------------|------------------|--------|
| | | | | | From | To |
| 1. | ANANT RAI B AJMERA | 400 | 700065 | 134494 | 249901 | 250300 |
| 2. | ANILA A AJMERA | 1100 | 700066 | 134495 | 250301 | 251400 |
| 3. | KAVITA AJMERA | 100 | 900050 | 99000524 | 131651 | 131750 |
| | | 100 | 900050 | 99000525 | 131751 | 131850 |
| | | 400 | 700062 | 134491 | 248101 | 248500 |
| 4. | HIMANSHU AJMERA | 100 | 600027 | 99000093 | 301 | 400 |

Pursuant to the SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022, a letter of Confirmation will be issued to the securities holder/ claimants upon completion of necessary procedures regarding duplicate share certificates.

This is for information and records.

Thanking You,
Yours Faithfully,
For SMIFS Capital Markets Limited



Ayanti Sen
Company Secretary cum Compliance Officer

REQUEST FOR THE ISSUE OF DUPLICATE SHARE CERTIFICATE

1 message

maheshwari datamatics <mdpldc@yahoo.com>
Reply-To: maheshwari datamatics <mdpldc@yahoo.com>
To: Smifs Capital <cs.smifs@gmail.com>

Fri, Feb 24, 2023 at 11:43 AM

SIR / MADAM

Please find attached letter from share holder for loss of share certificate.

Detail as follows.

UNIT: SMIFS CAPITAL MARKETS LTD

1) Folio No. : 700065
Name : ANANT RAI B AJMERA
Cert No. : 134494
Dist No. : 249901 - 250300
No Of Shares : 400

2) Folio No. : 700066
Name : ANILA A AJMERA
Cert No. : 134495
Dist No. : 250301 - 251400
No Of Shares : 1100

Please arrange to upload the data in portal of CSE & BSE as the information is required to be provided to them within 2 days from date of receipt of request as per SEBI guidelines.

Kindly confirm.

SANDIPAN

Maheshwari Datamatics Pvt. Ltd.
Registrar & Share Transfer Agent
23 R.N.Mukherjee Road 5th Floor, Kolkata - 700001
Contact : 033-22482248, 2243-5029

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen
AYANTI SEN
COMPANY SECRETARY
CUM COMPLIANCE OFFICER

MAHESHWARI DYNAMICS PVT. LTD.
received
Contacted vide verified
9 3 FEB 2023
Kolkata

7009

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen

(AYANTI SEN)
COMPANY SECRETARY
CUM COMPLIANCE OFFICER

HIMANSHU AJMERA

Date: 22/02/2023

To

Maheswari Detamatics Pvt. Ltd
23, R.N. Mukherjee Road, 5th Floor
Kolkata- 700001

Dear Sir,

Sub: Procedure for Duplicate Share Certificates & claim unpaid Dividends
Ref: Folio No 700065 & 700066 - of SMIFS Capital Markets Ltd (1500)

With reference to above, I would like to inform that my parents are no more and while shifting my resident to a new premises I lost all shares certificate along with other Important documents and since then I am not getting any communications neither the dividend warrants. I was unable to communicate you regarding loss shares.

Now, I found my parents Folio Nos. 700065 & 700066, Kindly let me know the procedure to get Duplicate share certificate and transferred the same in my name, claim the unpaid dividend as well.

I am enclosing my Self Attested PAN as identity proof and Self Attested passport from where you will be able to know my parents name.

I request you kindly do be needful and oblige and also acknowledge receipt.

Thanking you,

Yours faithfully,


(Himanshu Ajmera)

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen

(AYANTI SEN)
COMPANY SECRETARY
CUM COMPLIANCE OFFICER



29A, BALLYGUNGE PARK
8A, ORBIT REGENCY, 8TH FLOOR
KOLKATA - 700019

+91 9830031031

033-22892359

hajmera@accordcap.co.in

REQUEST FOR THE ISSUE OF DUPLICATE SHARE CERTIFICATE

1 message

maheshwari datamatics <mdpldc@yahoo.com>
Reply-To: maheshwari datamatics <mdpldc@yahoo.com>
To: "cs.smifs@gmail.com" <cs.smifs@gmail.com>

Fri, Feb 24, 2023 at 11:52 AM

SIR / MADAM

Please find attached letter from share holder for loss of share certificate.

Detail as follows.

UNIT: SMIFS CAPITAL MARKETS LTD

1) Folio No. : 900050
Name : KAVITA AJMERA
Cert No. : 99000524 & 99000525
Dist No. : (131651 - 131750) & (131751 - 131850)
No Of Shares : 100 + 100 = 200
2) Folio No. : 700062
Name : KAVITA AJMERA
Cert No. : 134491
Dist No. : 248101 - 248500
No Of Shares : 400

Please arrange to upload the data in portal of CSE & BSE as the information is required to be provided to them within 2 days from date of receipt of request as per SEBI guidelines.

Kindly confirm.

SANDIPAN

Maheshwari Datamatics Pvt. Ltd.
Registrar & Share Transfer Agent
23 R.N.Mukherjee Road 5th Floor, Kolkata - 700001
Contact : 033-22482248, 2243-5029

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen.

(AYANTI SEN)
COMPANY SECRETARY
CUM COMPLIANCE OFFICER

 **SCML-900050 & 700062.pdf**
33K

MAHESHWARI DEMURATICS PVT. LTD.
Received
Contact Not Verified
23 FEB 2023
Kolkata

720

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen

AYANTI SEN
COMPANY SECRETARY
CUM COMPLIANCE OFFICER

KAVITA AJMERA

29, Ballygunge Park Road, Kolkata- 700019

Date: 22/02/2023

To
Maheswari Detamatics Pvt. Ltd
2B, R.N Mukherjee Road, 5th Floor
Kolkata- 700001

Dear Sir,

Sub: Update ISR 1 & 2, Issue of Duplicate Share & Details of Un-claimed Dividend.

Ref: My Folio No 900050 & 700062 - of SMIFS Capital Markets Ltd (600) & Your SRL No 77 Dt. 31/01/23

With reference to above, would like to inform that while shifting my resident to a new premises I lost my shares certificate and since then I am not getting any communications neither the dividend warrants. I was unable to communicate you as I lost everything.

Now, I found my Folio Nos. 900050 & 700062 and would request you to update my address and other details as per latest ISR 1, Bank details & latest signature as per ISR 2 along with original Cancelled cheque.

Kindly let me inform the procedure to get Duplicate share certificate so that I can get the share in demat a/c.

Also request you please release my outstanding dividends lying with you to my Updated Bank Account and inform the details of dividend transferred to IEPF.

The following documents are enclosed.

- 1) ISR -1 & Original Cheque leaf with printed Name of the Holder & ISR 2 attested from Bank.
- 2) Client Master duly stamp and sign by DP.
- 3) Self Attested PAN
- 4) Self Attested Adhaar card.

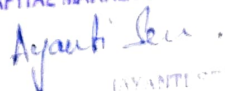
Kindly acknowledge receipt.

Thanking you,
Yours faithfully,


(Kavita Ajmera)

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED


Ajayanti Sen
COMPANY SECRETARY
CUM COMPLIANCE OFFICER

REQUEST FOR THE ISSUE OF DUPLICATE SHARE CERTIFICATE

1 message

mareshwari datamatics <mdpldc@yahoo.com>
Reply-To: mareshwari datamatics <mdpldc@yahoo.com>
To: "cs.smifs@gmail.com" <cs.smifs@gmail.com>

Fri, Feb 24, 2023 at 12:01 PM

SIR / MADAM

Please find attached letter from share holder for loss of share certificate.

Detail as follows.

UNIT: SMIFS CAPITAL MARKETS LTD

Folio No. : 600027
Name : HIMANSHU AJMERA
Cert No. : 99000093
Dist No. : 301 - 400
No Of Shares : 100


Please arrange to upload the data in portal of CSE & BSE as the information is required to be provided to them within 2 days from date of receipt of request as per SEBI guidelines.

Kindly confirm.

SANDIPAN

Maheshwari Datamatics Pvt. Ltd.
Registrar & Share Transfer Agent
23 R.N.Mukherjee Road 5th Floor, Kolkata - 700001
Contact : 033-22482248, 2243-5029

Certified True Copy
For SMIFS CAPITAL MARKETS LIMITED
Ayanti Sen
(AYANTI SEN)
COMPANY SECRETARY
CUM COMPLIANCE OFFICER

 SCML-600027.pdf
37K

MAHESHVARI DYNAMICS PVT. LTD.

Received
Content Not Verified

23 FEB 2023

Kolkata

907

Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen.

(AYANTI SEN)
COMPANY SECRETARY
CUM COMPLIANCE OFFICER

HIMANSHU AJMERA

Date: 22/02/2023

To

Maheswari Detamatics Pvt. Ltd
23, R.N Mukherjee Road, 5th Floor
Kolkata- 700001

Dear Sir,

Sub: Update ISR 1 & 2 ,issue of Duplicate Share & Details of Un-claimed Dividend.

Ref: My Folio No 600027 - of SMIFS Capital Markets Ltd (100)

With reference to above, would like to inform that while shifting my resident to a new premises I lost my shares certificate and since then I am not getting any communications neither the dividend warrants. I was unable to communicate you as I lost everything.

Now, I found my Folio No 600027 and would request you to update my address and other details as per latest ISR 1, Bank details & latest signature as per ISR 2 along with original Cancelled cheque.

Kindly let me inform the procedure to get Duplicate share certificate so that I can get the share in demat a/c. and also request you please release my outstanding dividends lying with you to my Updated Bank Account and inform the details of dividend transferred to IEPF.

The following documents are enclosed .

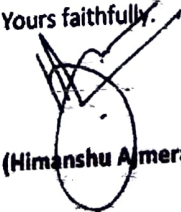
- 1) ISR -1 & Original Cheque leaf with printed Name of the Holder & ISR 2 attested from Bank.
- 2) Certified Copy of affidavit regarding Name.
- 3) Client Master duly stamp and sign by DP.
- 4) Self Attested PAN
- 5) Self Attested Adhaar card.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,

(Himanshu Ajmera)



Certified True Copy

For SMIFS CAPITAL MARKETS LIMITED

Ayanti Sen
AYANTI SEN
COMPANY SECRETARY
CUM COMPLIANCE OFFICER



29A, BALLYGUNGE PARK
8A, ORBIT REGENCY, 8TH FLOOR
KOLKATA - 700019

+91 9830031031
033 22892359
hajmera@accordcap.co.in