

Regency Investments Ltd.

October 11, 2018

To,

BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001 Scrip Code:- 540175	Calcutta Stock Exchange Limited 7, Lyons Range, Kolkata - 700001 ISIN: INE964R01013
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Dear Sir/Madam,

SUB: COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) & (10) OF SEBI (LODR) REGULATIONS, 2015

Please find enclosed herewith a Certificate issued under Regulation 40(9) of Securities Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, signed by Ms. Reena Tekaria, Practicing Company Secretary in respect of the Share transfer and allied Operation relating to the Company during the Period i.e. from **March 31, 2018 to September 30, 2018**.

Request you to kindly take this letter on record and acknowledge the receipt.

Thanking You.

For Regency Investments Limited

For Regency Investments Ltd.



Director

Gaurav Kumar Abrol
Managing Director
DIN: 06717452





**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI(LISTING OBLIGATION
AND DISCLOSURE REQUIREMENTS) REGULATION, 2015**

FOR THE QUARTER ENDED ON 30 TH SEPTEMBER, 2018

The Board of Directors,
Regency Investments Limited
Unit No. 201-B, 2nd Floor, Global Business Park,
Waraich Farm Compound, NH-22,
Delhi-Ambala- CHD Road, Zirakpur,
Mohali-140603, Punjab

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and Other Documents relating to M/s Regency Investments Limited maintained by M/s Mas Services Limited, (the Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the company for the period from 1ST April 2018 to September 30, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 by M/s Regency Investments Limited and based on the information provided by the company, hereby certify that the company has delivered during the half year ended on 30th September, 2018.

- A) Share Certificate(s) relating to the transfer of shares received during the period from from 1ST April 2018 to September 30, 2018 as entered in the Memorandum of Transfers have been issued within one month of the date of lodgement for transfer excepting those rejecting on technical grounds.
- B) There was no request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period under review.
- C) Request has been received for demat or remat during the half year ended on September 30, 2018. And no request is pending for more than 21 days.

For Reena & Associates



(Reena Tekaria)
ACS: 35020, CP: 13243

Date: October 11, 2018
Place: Mohali, Punjab