



To,
The Asst. General Manager,
Department of Corporate Services,
The Bombay Stock Exchange, Mumbai
PhirozeJeejeebhoy Towers
Dalal Street,
Mumbai - 400001

Date: 17.10.2019

Sub:-Compliance certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30th September, 2019

Dear Sir,

This is with regard to VIKALP SECURITIES LIMITED (ISIN INE186E01011 and SECURITY CODE - 531334). With reference to the above mentioned subject, pursuant to the Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are attaching the Certificate for the half year ended on 30th September, 2019.

Kindly take above document into your record.

Enclosure: Compliance certificate of the Company for the half year ended on 30th September, 2019 as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Thanks & Regards

For Vikalp Securities Limited



Arun Kejriwal
Designation : Managing Director
DIN : 00687890
Address : 4/276/2A, Rani Ghat,
Old Kanpur, Kanpur – 208002, Uttar Pradesh

Regd. Office : 25/38, Karachi Khana, Kanpur - 208 001 Uttar Pradesh
CIN : L65993UP1986PLC007727; Contact No. : 0512-2372665
E-mail Id : vikalpsecuritieslimited@gmail.com • Website : www.vikalpsecurities.com

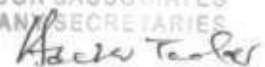
**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATION, 2015 FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019.**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to VIKALP SECURITIES LIMITED (“the Company”), having its registered office at 25/38, Karachi Khana, Kanpur-208001 and paid up share capital of Rs. 3,05,19,000/- (Rupees Three Crore Five Lakhs Nineteen Thousand only) divided into 30,51,900 (Thirty Lakhs Fifty One Thousand Nine Hundred only) equity shares of Rs. 10/- each, maintained by SKYLINE FINANCIAL SERVICES PRIVATE LIMITED, Registrar and Transfer Agent of the Company, pertaining to transfer of equity shares of the company for the period from April 01, 2019 to September 30, 2019 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and pursuant to Listing Agreement entered into by, the Company with BSE Limited (“BSE”) and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on September 30, 2019

- (A) Share Certificate(s) relating to the transfer of Shares received during the period from April 1, 2019 to September 30, 2019 as entered in the Memorandum of Transfers have been issued within Fifteen Days of the date of lodgment for transfer excepting those rejected on technical grounds;
- (B) During the period under review there was no instance of sub-division, consolidation, renewal, exchange of certificates and endorsement for payment of call/allotment money;
- (C) During the period under review, no request for Re-mat was received by the Company.
- (D) The Company has not issued any debentures, hence the reporting of the same is not applicable.

**For ADESH TANDON AND ASSOCIATES
Company Secretaries**

For ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES



PROPRIETOR

Adesh Tandon

Proprietor

FCS No. 2253

C. P. No. 1121

UDIN: F002253A000083598

Date: October 15, 2019

Place: Kanpur