

10<sup>th</sup> April, 2023

To,  
BSE Limited  
1<sup>st</sup> Floor, PhirozeJeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400 001

**Company Code No. 539798**

Dear Sir,

**Sub: Compliance Certificate in terms of Regulation 40 (9) of the SEBI (LODR) Regulations 2015**

We are enclosing herewith Compliance Certificate in terms of Regulation 40(9) of the SEBI (LODR) Regulations, 2015 certified by M/s. Manoj Hurkat & Associates, Company Secretaries for the year ended on 31<sup>st</sup> March, 2023.

Thanking you,

Yours faithfully,

**For UMIYA TUBES LIMITED,**



**SAURABHKUMAR R PATEL**  
**MANAGING DIRECTOR (DIN: 06964670)**



**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS 2015  
FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023**


I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Umiya Tubes Limited** (CIN: L28112GJ2013PLC074916) having its Registered Office at 208, 2<sup>nd</sup> Floor, Suman Tower, Sector -11, Gandhinagar – 382 011 maintained by M/s. Purva Sharegistry (India) Pvt. Ltd. pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by **M/s. Umiya Tubes Limited** and based on the information provided by the Company, hereby certify that the Company has delivered during the year ended on 31<sup>st</sup> March, 2023.

- A) Share Certificate(s) relating to the Share Transfer form(s) received have been issued after registration of transfer within stipulated days (15/30 days, as the case may be) of the respective date of lodgement of transfer during the period from 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 as entered in the Memorandum of Transfers excepting those rejected on technical grounds except in the cases set out in the **Annexure- A** hereto.
- B) Share Certificates in respect of shares issued on sub-division, consolidation, renewal, endorsement as fully paid up and exchange of certificate within stipulated days (15/30 days, as the case may be) of the date of lodgement to the Company except in cases set out in **Annexure B** hereto.

**CERTIFIED**  
For **MANOJ HURKAT & ASSOCIATES**  
*Company Secretaries*

Place: Ahmedabad  
Date : 10<sup>th</sup> April, 2023



  
**MANOJ R. HURKAT**  
*Partner*  
C. P. No: 2574  
FRN: P2011GJ025800  
UDIN: F004287E000036946

306, ARTH Complex, B/h. A. .K. Patel House, Nr. Mithakhali Six Roads, Navrangpura, Ahmedabad – 380 009 (Gujarat) India  
Tel. No. : 079-2640 2110, 2640 2117 – Mobile: 98250 15582 - E-mail : manojhurkat@hotmail.com

ANNEXURE - A

Annexure to Certificate dated 10<sup>th</sup> April, 2023 in respect of M/s. Umiya Tubes Limited (CIN : L28112GJ2013PLC074916).

Cases in which Certificates of listed securities have not been delivered to shareholders within stipulated days (15/30 days, as the case may be) of lodgement of transfer of securities, instruments etc.

Sr. No.	Name of Lodger	Date of Lodgement	Delay Period	Reason for delay
--Nil--				

ANNEXURE - B

Annexure to Certificate dated 10<sup>th</sup> April, 2023 in respect of M/s. Umiya Tubes Limited (CIN : L28112GJ2013PLC074916).

Cases in which Certificates of listed securities have not been delivered to shareholders within stipulated days (15/30 days, as the case may be) of lodgement for sub-division, consolidation, renewal, endorsement as fully paid up and exchange of certificate etc.

Sr. No.	Name of Lodger	Date of Lodgement	Delay Period	Reason for delay
--Nil--				

**CERTIFIED**  
For MANOJ HURKAT & ASSOCIATES  
*Company Secretaries*

Place: Ahmedabad  
Date : 10<sup>th</sup> April, 2023



**MANOJ R. HURKAT**  
*Partner*  
C. P. No: 2574  
FRN: P2011GJ025800  
UDIN: F004287E000036946