

AVADH/SE/2023-24/03

April 11, 2023

The Manager  
Listing Department  
National Stock Exchange of India Limited  
'Exchange Plaza'  
C - 1, Block G, Bandra-Kurla Complex  
Bandra (E)  
Mumbai 400051  
**SYMBOL – AVADHSUGAR**

The Manager  
Listing Department  
BSE Ltd.  
1st Floor, New Trading Ring  
Rotunda Building, P.J. Towers  
Dalal Street, Fort  
Mumbai-400 001  
**STOCK CODE - 540649**

Dear Sirs,

**Sub : Compliance Certificate for the year ended 31<sup>st</sup> March, 2023**

In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate dated 10<sup>th</sup> April, 2023 received from M/s. S. M Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal etc. for the year ended 31<sup>st</sup> March, 2023.

Thanking you,

Yours faithfully,  
For Avadh Sugar & Energy Limited

DEVINDER  
KUMAR JAIN

Digitally signed by  
DEVINDER KUMAR JAIN  
Date: 2023.04.11 16:46:10  
+05'30'

Devinder Kumar Jain  
Company Secretary  
ACS - 14674

Encl – as above



**K.K. BIRLA GROUP OF SUGAR COMPANIES**

Corporate Office: 5th Floor, Birla Building, 9/1 R. N. Mukherjee Road, Kolkata 700 001

Phone: +91 33 2243 0497 / 8, 2248 7068, 7185 3000, 4082 3700 • Email: birlasugar@birla-sugar.com

Regd. Office: P.O. Hargaon, Dist. Sitapur, U.P., PIN 261 121 • Website : www.birla-sugar.com • CIN: L15122UP2015PLC069635

**S. M.GUPTA & CO.**  
COMPANY SECRETARIES  
P-15 BENTINCK STREET, CALCUTTA-700 001

Ref. No.....

Dated.....

**CERTIFICATE**  
**FOR THE FINANCIAL YEAR ENDED 31.03.2023**

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. AVADH SUGAR & ENERGY LIMITED (CIN:L15122UP2015PLC069635)** maintained by them and their Share Transfer Agents M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the Financial year ended 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of electronic mode, we hereby certify that the Company has generally issued during the above financial year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) sub-division, consolidation and renewal received during the financial year ended 31<sup>st</sup> March, 2023 as entered in the said Registers within thirty days from respective dates of lodgement of such deeds excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgement.

Place : Kolkata

Date : 10.04.2023

For S. M. Gupta & Co.

Company Secretaries

Firm Registration No.: S1993WB816800



S. M. Gupta

Proprietor

Membership Number: FCS-896

C. P. No: 2053

Peer Review: 2464/2022

**UDIN: F000896E000043785**