

SPARC/Sec/SE/2022-23/009

April 27, 2022

**National Stock Exchange of India Ltd.,**  
Exchange Plaza, 5th Floor,  
Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (East), Mumbai – 400 051.

**BSE Limited,**  
Market Operations Dept.  
P. J. Towers,  
Dalal Street,  
Mumbai - 400 001.

**Ref:** Scrip Code: NSE: SPARC; BSE: 532872

Dear Sir/ Madam,

**Sub: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”).**

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Pursuant to Regulation 40(10) of the Listing Regulations, please find enclosed Certificate issued by M/s Veenit Pal & Associates, Practicing Company Secretaries, under Regulation 40(9) of the Listing Regulations for the year ended on March 31, 2022.

This is for your information and record.

Thanking you,

Yours faithfully,

For **Sun Pharma Advanced Research Company Ltd.**



**Dinesh Lahoti**  
**Company Secretary and Compliance Officer**  
**ICSI Membership No.: A22471**

Encl: As above

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA**  
**(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2022**

I have examined Memorandum of Transfers, Registers, files and other relevant documents relating to **SUN PHARMA ADVANCED RESEARCH COMPANY LIMITED** (the Company) (CIN: L73100GJ2006PLC047837) maintained by **LINK INTIME INDIA PRIVATE LIMITED, MUMBAI**, Registrar and Share Transfer Agents for the period from 01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022 for the purpose of issuing certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided and explanation given to me, I hereby certify that:

- A) 1. Share certificates/ Confirmation Letters (as applicable) relating to the request(s) for transmission of shares, request(s) for name deletion, request(s) for change of name and request(s) for sub-division/consolidation/duplicate received by the Company during the period from 01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022, as entered in the memorandum of transfers have been issued within 30 days from the respective date of lodgement, except those rejected on technical grounds;
2. During the period from 1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022, the Company has not received any request for transfer of shares, transposition of shares, renewal, endorsement of calls/allotment monies, as per records provided to me for verification during the course of audit.
- B) Any other information, if applicable: **NIL**

**For Veenit Pal & Associates**  
**Company Secretaries**

  
**Veenit Pal**  
**(Proprietor)**  
**M. No. 25565**  
**COP No. 13149**



Place:- Mumbai  
Date:- 27.04.2022

UDIN:- **A025565D000222190**

Peer Review no. **1433/2021**