



# Regency Investments Limited

CIN: L67120PB1993PLC013169

Registered Office: Unit No. 49 B, 3rd Floor, Sushma Infinium, Chandigarh-Ambala Highway, Zirakpur Punjab-140603

Corporate Office: Unit No. 49 B, 3rd Floor, Sushma Infinium, Chandigarh-Ambala Highway, Zirakpur Punjab-140603

Ph. :+91 1762 424 697 | Web.: www.regencyinvestments.co.in | E-mail:regencyinvestmentsltd@gmail.com

Ref: Regency/BSE/2020

Date: 27.10.2020

To,

Department of Corporate Services

The BSE Limited

Phiroze Jeejeebhoy Towers,

Dalal Street, Mumbai 400001

Scrip Code: 540175

**Sub: Compliance Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015**

Dear Sir,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are hereby enclosing Compliance Certificate duly certified by Practicing Company Secretary for the half year ended September 30, 2020.

Kindly take the same on records.

Thanking You.

**For Regency Investments Limited**

Regency Investments Limited  
*Rahul*  
Company Secretary

**Rahul Verma**  
Company Secretary cum Compliance officer

**Suresh Kumar Pillay**  
Company Secretary

# 51, Adarsh Enclave, Opp. Pine Homes Society  
Dhakoli, Zirakpur, Distt. Mohali (Punjab)-160104  
Mobile: 7018513892  
sksuresh78@gmail.com

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**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Regency Investments Limited** maintained by **M/s. Mas Services Pvt. Ltd.**, T-34, 2<sup>nd</sup> Floor, Okhla, Industrial Area, Phase-II, New Delhi pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that during the half year ended on 30<sup>th</sup> September, 2020, all Share Certificates have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal or exchange excepting those rejected on technical grounds.

Date : 27/10/2020

Place : Zirakpur

**UDIN: A043865B001076237**

**( Suresh Kumar Pillay)**  
**Company Secretary in practice**

**CP : 21089**