

OMAX/STEX/2021-22/03

Date: 14th April, 2021

The Manager – Listing
National Stock Exchange of India Ltd.
Exchange Plaza,
Bandra-Kurla Complex, Bandra (E),
Mumbai - 400 051

The Manager - Listing
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai – 400 001

NSE Code: OMAXAUTO

BSE Code: 520021

Dear Sir,

Sub: Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to the captioned matter, please find enclosed herewith the Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended 31st March, 2021, issued by M/s. Chandrasekaran Associates, Company Secretaries.

This is for your information and record please.

Thanking you.

Yours Sincerely,
For **Omax Autos Limited**


Hari Gopal Agrawal
Authorised Signatory



Encl.: As above

The Board of Directors

Omax Autos Limited

Plot No. B-26, Institutional Area, Sector-32, Gurgaon,
Haryana - 122001

CERTIFICATE

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

Accordingly, we have examined the relevant books and records, files and other documents of Omax Autos Limited ("Company") having its registered office at Plot No. B-26, Institutional Area, Sector-32, Gurgaon, Haryana - 122001, and maintained and produced electronically by their Registrar and Share transfer Agent i.e. Link Intime India Private Limited ('RTA'), pertaining to transfer of shares of the Company for the period from October 1, 2020 to March 31, 2021 ("Period") for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities Exchange Board of India ("SEBI") (Listing Obligations and Disclosure Requirements) Regulations, 2015 to the Company and based on the information provided, we hereby certify that:

- a. The Company has not transferred any physical shares during the said period;
- b. The Company has not received any requests for Transmission/ transposition, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, as the case may be, during the said period.

Chandrasekaran Associates
Company Secretaries

TIWARI
SHASHIKANT

Digitally signed by
TIWARI SHASHIKANT
Date: 2021.04.13
12:17:04 +05'30'

Shashikant Tiwari
Partner

ACS No. 28994

CP No. 13050

UDIN: A028994C000072158

Place: Delhi

Date: 13.04.2021