

RAJKUMAR FORGE LIMITED

CIN: L28910PN1990PLC056985

REGD. OFF.: OFFICE NO. 511 TO 513, GLOBAL SQUARE, S. NO. 247, 14B,
YERAWADA, PUNE-411 006

PHONE NO. : 8956616160

EMAIL: info@rkforge.in

WEBSITE: www.rkforge.in



RFL/SEC/2022-23/27

May 18, 2022

BSE Limited,
Corporate Relationship Department,
1st Floor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Mumbai - 400 001

Scrip Code: 513369

Dear Sir / Madam,

Sub: Annual Secretarial Compliance Report for the year ended March 31, 2022

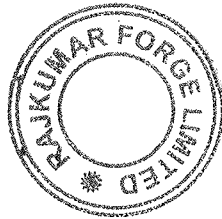
In compliance with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/ 2019 dated February 08, 2019; we enclose herewith the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2022 issued by MSN Associates, Practicing Company Secretaries, Pune.

This is for your information and records.

This will also be hosted on the Company's website, at www.rkforge.com

Thanking you,

Yours faithfully,
For **RAJKUMAR FORGE LIMITED**



Satish C Kadrolli
Satish C Kadrolli
Company Secretary & Compliance Officer
Membership No. : A43677

Encl : As Above



**Secretarial Compliance Report of
Rajkumar Forge Limited for the year ended 31st March 2022**

To,
The Members,
Rajkumar Forge Limited
Office No 511 to 513, Global Square,
S. No. – 247, 14B, Yerawada,
Pune – 411006

We have examined:

- (a) all the documents and records made available to us and explanation provided by Rajkumar Forge Limited ("the listed entity"),
- (b) the filings / submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document / filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March 2022 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;



- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 **[not applicable to the Company during the Review Period]**;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **[not applicable to the Company during the Review Period]**;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 **[not applicable to the Company during the Review Period]**;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 **[not applicable to the company during the Review Period]**;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 **[not applicable to the Company during the Review Period]**;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018. and circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	NIL	NIL	NIL

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The company has suitably included the conditions as mentioned in clause 6(A) and 6(B) of the SEBI circular No. CIR/CFD/CM D1/114/2019 dated October 18, 2019 in the terms of appointment of Statutory Auditor of the company.



- (d) The following are the details of actions taken against the listed entity / its promoters / directors / material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars / guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any
1	NIL	NIL	NIL	NIL

- (e) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 st March 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed
1	NIL	NIL	NIL	NIL

For **MSN Associates**
Company Secretaries,



CS Nishad Umranikar
Partner
Membership No. FCS 4910
C.P. No. 3070
UDIN:F004910D000305551



Place: Pune
Date: 11/05/2022