

SHREE GLOBAL TRADEFIN LIMITED

Regd. Off: 35, Ashok Chambers, Broach Street, Devji Ratansey Marg, Masjid, Mumbai – 400 009.
Tel. 022 – 2348 0526 email : sgtl2008@gmail.com CIN : L27100MH1986PLC041252 Website : www.sgtl.in

Date: 13/10/2020

To,
The Bombay Stock Exchange (BSE Limited)
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400001.

Dear Sir / Madam,

Sub: Compliance Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

In Compliance with Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are pleased to enclose a copy of the Compliance certificate issued by Company Secretary in Practice for the half year ended on 30th September, 2020.

Kindly take the same on your records.

Thanking you,
Yours faithfully
For Shree Global Tradefin limited

Rajesh Gupta
Managing Director
DIN: 00028379



B R Gupta & Co. Company Secretaries

Office Add.: Unit No. 12, 1st Floor, Poonam Cluster 2, Shanti Park Layout,
Miraroad (E), Thane - 401 107, Maharashtra, India.
Email Id: cs.guptarahul@gmail.com | Cont. No.: +91 - 816 919 2320

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

We have examined the relevant records of **Shree Global Tradefin Limited** maintained by their **Registrar and Transfer Agent "Bigshare Services Private Limited"** for the period from 01/04/2020 to 30/09/2020 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and on the basis of information provided by the Company and Share Transfer Agent,

We hereby certify that;

There are no requests received for issue of share certificates during the period **from 01st April, 2020 to 30th September, 2020** relating to transfers, transmission, subdivision, split, duplicate, consolidation, renewal, exchange, replacement, endorsement of calls/ allotment monies, transposition and deletion of name of the deceased holder.

For B R Gupta & Co.

Rahul Gupta

Rahul Gupta

Company Secretaries

M.No.: 43021 & COP No.: 20863

Place: Thane

Date: 11th October, 2020

UDIN: A043021B000909909

