



October 16, 2019

<p>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 540776</p>	<p>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: 5PAISA</p>
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Dear Sir/Madam,

Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended September 30, 2019

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended September 30, 2019.

Kindly take the same on record and oblige.

Thanking You,

Yours faithfully,

For 5paise Capital Limited

Roshan Dave

Company Secretary

Email: csteam@5paise.com

Encl: as above

5paise Capital Limited

Corporate Identity Number: L67190MH2007PLC289249

Corporate Office/ Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane – 400604.

E-mail: csteam@5paise.com • Website: www.5paise.com

Ref _____

UDIN: F004554A000091058

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30.09.2019.

I have examined all Share Transfer Deeds, Memorandum of Transfers Registers, files and other documents relating to **SPAISA CAPITAL LIMITED** maintained by M/s Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from 1st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to Spaisa Capital Limited and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request relating to the Transfer, Transmission, Transposition, Name Deletion, Sub-division, Consolidation, Replacement & Issue of Duplicate Share Certificate and Exchange of Certificate, Endorsement for calls/allotment Money during half year ended on 30th September, 2019.

Date: 16/10/2019

Place: Mumbai

Signature: _____


16/10/2019

NILESH G. SHAH.

Company Secretary

C. P. No: 2631

