

October 15, 2019

Listing Compliance & Legal Regulatory

**BSE Limited**

P.J. Towers, Dalal Street

Mumbai – 400 001

**BSE Scrip Code: 532648**

Listing Compliance

**National Stock Exchange of India Limited**

Exchange Plaza

Plot no. C/1, G Block,

Bandra - Kurla Complex

Bandra (E)

Mumbai - 400 051.

**NSE Symbol: YESBANK**

Dear Sirs,

*Sub: Certification under Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended September 30, 2019*

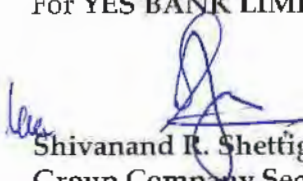
Please find enclosed herewith Certificate received from U. Hegde & Associates, Company Secretaries, certifying compliance with Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half-year ended September 30, 2019.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For YES BANK LIMITED

  
Shivanand R. Shettigar  
Group Company Secretary

*Encl: As above*

# U. HEGDE & ASSOCIATES

## COMPANY SECRETARIES

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019**

I, have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to YES BANK LIMITED ("the Bank"), having registered office at YES BANK Tower, IFC-2, 15<sup>th</sup> Floor, Senapati Bapat Marg, Elphinstone (W), Mumbai - 400 013 and maintained by Registrar & Transfer Agents ("RTA") Karvy Fintech Private Limited having its office at Karvy Selenium Tower B, Plot No-31-32, Gachibowli, Financial District, NanakramGuda, Serilingampally, Hyderabad, - 500 032, pertaining to transfer of equity shares of the Bank for the period from April 1, 2019 to September 30, 2019. For the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 based on the information provided by the Bank/RTA, I hereby certify that:

- A) During the period from April 1, 2019 to September 30, 2019, no transfer deeds were lodged with Bank/RTA, therefore the Bank was not required to deliver the share certificates within thirty days from date of lodgment.
- B) During the period from April 1, 2019 to September 30, 2019, the Bank/RTA did not receive request relating to sub division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, therefore the Bank was not required to deliver the share certificates within 30 days from date of lodgment.

FOR U. HEGDE & ASSOCIATES, COMPANY SECRETARIES

*U. Hegde*  
UMASHANKAR K. HEGDE  
(Proprietor)  
COP No- 11161 # M. No- A22133  
ICSI UDIN -A022133A000077243



Date: 15/10/2019  
Place: Mumbai