

October 05, 2020

<b>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773</b>	<b>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC</b>
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Dear Sir/Madam,

**Sub:- Submission of Compliance Certificate pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**


Pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed captioned certificate, for the half year ended September 30, 2020, counter signed by the authorised representative of Link Intime India Private Limited, Registrar & Share Transfer Agent of the Company.

Kindly take the same on record and oblige.

Thanking You,

Yours faithfully,

**For IIFL Securities Limited**



**Roshan Dave**

**Company Secretary**

Email: [secretarial@iifl.com](mailto:secretarial@iifl.com)



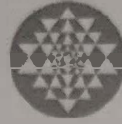
Encl: as above

**IIFL Securities Limited**

Corporate Identity Number: **L99999MH1996PLC132983**

**Regd. Office:** IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

Tel: (91-22) 3929 4000/ 4103 5000/ 6272 7000 • Fax: (91-22) 2580 6654 • E-mail: [secretarial@iifl.com](mailto:secretarial@iifl.com) • Website: [www.iiflsecurities.com](http://www.iiflsecurities.com)



# IIFL SECURITIES

October 01, 2020

The Manager,  
Listing Department,  
The National Stock Exchange of India Ltd.,  
Exchange Plaza, 5 Floor, Plot C/1, G Block,  
Bandra - Kurla Complex, Bandra (E),  
Mumbai 400 051.  
Tel No.: 2659 8235  
NSE Symbol: IIFLSEC

The Manager,  
Listing Department,  
BSE Limited,  
Phiroze Jeejeebhoy Tower,  
Dalal Street,  
Mumbai 400 001.  
Tel no.: 22721233  
BSE Scrip Code: 542773

Dear Sirs,

**Subject: Compliance Certificate - Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

As per the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that all activities in relation to share transfer facility are maintained during the period from April 01, 2020 to September 30, 2020 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Thanking you.

Yours truly,

For IIFL Securities Limited

Roshan Dave  
Company Secretary



For Link Intime India Private Limited

Authorized Representative