

Mirza International Limited

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New Delhi - 110044 (India)
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November 28, 2022

BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001 Scrip Code: 526642	National Stock Exchange of India Limited Exchange Plaza, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East), Mumbai 400 051 NSE symbol: MIRZAIN
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Dear Sir,

Sub.: Reporting of Violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

With respect to the above subject, please find enclosed the report of violation relating to code of conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 in the prescribed form.

Kindly acknowledgment receipt of this report and update your records.

Thanking you.

Yours Faithfully,
For **Mirza International Limited**

Harshita Nagar
Company Secretary & Compliance Officer

Encl.: As Above.

Report by Mirza International Limited (Listed Company) for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details
1.	Name of the Listed Company	Mirza International Limited
2.	Please tick appropriate checkbox Reporting in capacity of: <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3.	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Shuja Mirza
	ii. PAN of the DP	AFUPM25441P
	iii. Designation of DP	Whole-time Director
	iv. Functional Role of DP	Head of Domestic Division
	v. Whether DP is Promoter or belongs to Promoter Group	Promoter Group
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	-
	ii. PAN of the immediate relative of DP	-
	C. Details of transaction(s)	
	i. Name of the scrip	Mirza International Limited
	ii. No of shares traded and value (Rs.) (Date-wise)	No. of shares: 3,219 Value: Rs. 10,45,690.02 Date: November 24, 2022
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	November 25, 2022
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	The disclosure under Regulation 7 is covered under the System Driven Disclosure. Hence, not required.
4.	Details of violations observed under Code of Conduct	Contra-trade Mr. Shuja Mirza, being member of the promoter group (Designated Person) of Mirza International Limited (the Company) bought 13,809 equity shares of the Company on November 24, 2022. However, by inadvertent error of the broker 3,219 equity shares were sold by mistake. A letter received from the Broker is attached in this regard.
5.	Action taken by Listed Company	On getting the information from broker of the aforesaid mistake, an amount of Rs. 50,216.40*

		being the gain on the aforesaid contra-trade of 3,219 equity shares are being deposited to the account of SEBI-IEPF. The Company has warned Mr. Shuja Mirza to be extremely careful in future while dealing in the shares of the Company.												
6.	Reasons recorded in writing for taking action stated above	Based on our findings and response received from the Designated Person (DP), it was noted that this incidence was the first instance of violation done without any malafide intention due to the inadvertent error of the broker. DP has undertaken to extra cautious while dealing in the shares of the Company.												
7.	Details of the previous instances of violations, if any, since last financial year	NIL												
8.	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online												
	ii. Details of transfer/payment In case of Online:													
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the Transferor</td> <td>Mr. Shuja Mirza</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>HDFC Bank Limited Plot no: 09, H and J Block Local Shopping Centre, Sarita Vihar, New Delhi 110 076 Account No. - 04801600002443</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>N332222221828883</td> </tr> <tr> <td>Transaction date</td> <td>28-11-2022</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>Rs. 50,216.40</td> </tr> </tbody> </table>		Particulars	Details	Name of the Transferor	Mr. Shuja Mirza	Bank Name, branch and Account number	HDFC Bank Limited Plot no: 09, H and J Block Local Shopping Centre, Sarita Vihar, New Delhi 110 076 Account No. - 04801600002443	UTR/Transaction reference Number	N332222221828883	Transaction date	28-11-2022	Transaction Amount (in Rs.)	Rs. 50,216.40
Particulars	Details													
Name of the Transferor	Mr. Shuja Mirza													
Bank Name, branch and Account number	HDFC Bank Limited Plot no: 09, H and J Block Local Shopping Centre, Sarita Vihar, New Delhi 110 076 Account No. - 04801600002443													
UTR/Transaction reference Number	N332222221828883													
Transaction date	28-11-2022													
Transaction Amount (in Rs.)	Rs. 50,216.40													
9.	Any other relevant information	NIL												

Note: *The above amount of gain of Rs. 50,216.40 has been calculated on the difference of the actual selling price and the lowest acquisition price.

Yours Faithfully,

For **Mirza International Limited**

Harshita Nagar

Company Secretary & Compliance Officer

PAN: ARJPN2596E

Date: November 28, 2022

Place: New Delhi

E-mail ID: compliance@mirzaindia.com



SPFL Securities Limited

CIN No. U74899DL1995PLC069581
GSTIN No. 09AABC52452C1ZP
Member NSEIL & BSE LTD.
D P NSDL & CDSL
15/63-L, Civil Lines, Kanpur - 208001 (Uttar Pradesh)
Ph. : 7376325889, 7376335889

24.11.2022

To,
Shuja Mirza
66 Friends Colony East,
New Delhi-110065

Respected Sir,

Sub: Intimation of erroneous trade in your account code FPS115 dated 24.11.2022

This is bring to your kind information that erroneous sale trade of 3219 shares of Mirza International Limited has been registered in your account due to non malafide intention of our operator.

The operator by mistake has sold 3219 shares of Mirza International Limited instead of purchasing which your good self had ordered. The sold shares were later on covered by your good self.

Further the operator has been warned for not repeating the mistake again.

Kindly accept our sincere apology and obige.

Thanking you,

Yours truly

For SPFL Securities Limited



Amit Agarwal
(Amit Agarwal)
Compliance Officer