

April 12, 2024

The Manager
Listing Department
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor
Plot No.C-1, Block G
Bandra-Kurla Complex
Bandra (E)
Mumbai 400 051

The Secretary
BSE Limited,
25th Floor, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai 400 001

Symbol : CHOLAHLDNG

Scrip Code : 504973

Dear Sir / Madam,

Sub: Compliance Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the year ended March 31, 2024

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from April 1, 2023 to March 31, 2024.

We request you to take the above on record.

Thanking you,

For **CHOLAMANDALAM FINANCIAL HOLDINGS LIMITED**

E KRITHIKA
COMPANY SECRETARY

Encl: as above

CERTIFICATE IN TERMS OF REGULATION 40 (9, 10 & 11) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from **1st April, 2023 to 31st March, 2024**

ISIN: INE149A01033

SCRIP CODE: 504973

We have examined all relevant books, registers, forms, files and other documents and papers relating to **Cholamandalam Financial Holdings Limited** (herein after called as "the Company"), having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai - 600001, maintained by its Registrar and Share Transfer Agents viz., **Kfin Technologies Limited** (herein after called as "RTA") having its office at Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 032 pertaining to the period from **1st April, 2023 to 31st March, 2024** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended (herein after called as "SEBI LODR") and the Uniform Listing Agreement entered into by **Cholamandalam Financial Holdings Limited** with the **BSE Limited**.

The Securities and Exchange Board of India (SEBI) vide its Press Release dated December 3, 2018 and March 27, 2019 respectively and Circular No SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated 7th September, 2020 had fixed 31st March, 2021 as the cut-off date for re-lodgement of transfer deeds. The shares re-lodged for transfer after the said date will be issued only in dematerialized form in the manner prescribed by SEBI from time to time. In addition to the above, SEBI vide its Circular No SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that listed Companies shall henceforth issue securities only in dematerialized form. Accordingly, on the basis of such examination as well as information and explanations furnished to us by the Company/RTA, which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that the Company has in relation to the year ended **31st March 2024** has not received any request(s) for Transfer, Transposition of securities, sub division and Consolidation, renewal, exchange or endorsement of calls/allotment monies.

During the aforementioned period, **Cholamandalam Financial Holdings Limited** through it's RTA has issued the Letter of Confirmation to shareholders in lieu of physical share certificate (s) in respect of requests received for Deletion of name, Transmission of shares and Issue of Duplicate share certificates and the above mentioned letter has been delivered to the securities holder(s) /claimant within the stipulated time period as stated in the SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES



CS R. SRIDHARAN

C.P.No.3239

FCS No.4775

PR NO.657/2020

UIN: S2003TN063400

UDIN: F004775F000076572

Place: Chennai
Date: 12th April, 2024