



Regency Investments Limited

CIN: L67120PB1993PLC013169

Registered Office: Shop No. 6, Ganesh Shopping Complex, Zirakpur, Punjab 140603

Corporate Office: T-4/B, Centra Mall, Industrial Area, Phase-1, Chandigarh 160001

Ph. : 0172 4732618 | Web.: www.regencyinvestments.co.in | E-mail: regencyinvestmentsltd@gmail.com

Dated: 24.10.2019

To,

BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400 001.

Scrip Code: 540175

Dear Sir,

SUB: COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015

Please find enclosed herewith a Certificate issued under Regulation 40(9) of Securities Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the period ending 30.09.2019.

This is for your information and record.

Thanking You

For Regency Investments Limited


Khyati

Company Secretary & Compliance Officer
A50404



KANWALJIT SINGH
B.Com, F.C.S.

SCO 64-65, 1st Floor,
Sector 17-A, Madhya Marg,
Chandigarh- 160017.
Off : 0172-2701906
Mobile: 9915343212
E-mail: kanwalcs@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Regency Investments Limited** maintained by **M/s. Mas Services Pvt. Ltd.**, T-34, 2nd Floor, Okhla, Industrial Area, Phase-II, New Delhi pertaining to transfer of equity shares of the Company for the period from **1st April, 2019 to 30th September, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that during the half year ended on 30th September, 2019, all Share Certificates have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal or exchange excepting those rejected on technical grounds.



Date : 24/10/2019

Place : Chandigarh

UDIN:F005901A000160704

(Kanwaljit Singh)
Company Secretary in practice
CP : 5870