

Vice President, Listing Department, National Stock Exchange of India Ltd., 'Exchange Plaza' C-1 , Block G, Bandra-Kurla Complex, Bandra (E), Mumbai-400 051. <u>Security ID: SUBROS</u>	Dy. General Manager, Department of Corporate Services, BSE LIMITED, First Floor, P.J. Towers, Dalal Street, Fort, Mumbai – 400001. <u>Security ID: SUBROS 517168</u>
--	---

Dear Sir/Madam,

Sub: Compliance Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

We are enclosing herewith a compliance certificate in accordance with Regulation 40 (9) and 40(10) of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015 for the half year ended 31st March, 2020 issued by Practicing Company Secretary.

This is for your information and record please

Thanking you,

Yours faithfully,
For SUBROS LIMITED

X 

Rakesh Arora
Company Secretary

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED MARCH 31, 2020

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **SUBROS LIMITED** maintained by their share transfer agent **MCS SHARE TRANSFER AGENT LIMITED** having its Corporate office at **F-65, 1st Floor, Okhla Industrial Area Phase – I, New Delhi 110 020** pertaining to transfer of equity shares of the company for the period from **1st October, 2019 to 31st March 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company/Registrar and Share Transfer Agent, We hereby certify that during half year ended on 31st March 2020.

- A) The Company has delivered during half year ended on 31st March, 2020 share certificates relating to the transfer deeds lodged prior to 1st April, 2019 and re-lodged thereafter during the period from 1st October, 2019 to 31st March, 2020, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment except those rejected on technical ground; and
- B) The Company has delivered, during half year ended on 31st March, 2020, share certificates in respect of requests relating to issuance of duplicate share certificate, transmission of securities, deletion of name, renewal, exchange, spilt and consolidation, if any, received during the period from 1st October 2019 to 31st March, 2020, within thirty days, from the respective date of lodgment; and

For **R S M & Co.**
Company Secretaries
FRN P1997DL17000



Ravi Sharma

RAVI SHARMA
C.P. No. 3666
UDIN F004468B000234346

Place: New Delhi
Date: 13.05.2020