



# MANAPPURAM<sup>®</sup> FINANCE LIMITED

Make Life Easy

Ref: Sec/SE/355/20-21  
19<sup>th</sup> October 2020

<b>BSE Limited</b> <b>Phiroze Jeejeebhoy Towers</b> <b>Dalal Street</b> <b>Mumbai- 400 001</b> <b>Scrip Code: 531213</b>	<b>National Stock Exchange of India Limited</b> <b>5th Floor, Exchange Plaza</b> <b>Bandra (East)</b> <b>Mumbai – 400 051</b> <b>Scrip Code: MANAPPURAM</b>
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Dear Sir/Madam,

We are attaching herewith report for the violations related to the Code of Conduct under Regulation 9 of SEBI (PIT) Regulations, 2015, in the format prescribed by SEBI

Request you to kindly take the same on your record.

Thanking you.

Yours Faithfully,

**For Manappuram Finance Limited**

**Manojkumar V.R**  
**Company Secretary**

**India's First Listed and Highest Credit Rated Gold Loan Company**

Registered & Corporate Office : (CIN-L65910KL1992PLC006623) IV/470A (old) W638A(New), Manappuram House, Valapad, Thrissur, Kerala - 680 567, India  
Tel : 0487 - 3050100, 3050108 Fax : 0487 - 2399298 E mail : mail@manappuram.com Website : www.manappuram.com



**Report by (Name of the Listed Company/ Intermediary/Fiduciary) for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015**

**Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

Sr No	Particulars	Details
1	Name of the Listed Company/ <del>Intermediary/Fiduciary</del>	Manappuram Finance Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> <del>Intermediary</del> <input type="checkbox"/> <del>Fiduciary</del>	Listed Company
3	<b>A. Details of Designated Person (DP)</b>	
	i Name of the DP	Mr. Rajeev Mehra
	ii PAN of the DP	AGAPM8939L
	iii Designation of DP	Vice President
	iv Functional Role of DP	Vice President, School Finance, Corporate Office Mumbai.
	v Whether DP is Promoter or belongs to Promoter Group	No
	<b>B. If Reporting is for immediate relative of DP</b>	No
	i. Name of the immediate relative of DP	
	ii. PAN of the immediate relative of DP	
	<b>C. Details of transaction(s)</b>	
i. Name of the scrip	Manappuram Finance Limited	
ii. No of shares traded and value (Rs.) (Date-wise)	Sold 100 shares @ 165.30 per Share on 01/10/2020	
<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>	NA	
i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA	
ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA	
4	Details of violations observed under Code of Conduct	Trading on Shares of the Company during the window



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		closure period
5	Action taken by Listed company/ Intermediary/ Fiduciary	Warning letter Issued as per the Insider Code of Conduct of the Company under Regulation 9 of SEBI(PIT)Regulations, 2015.
6	Reasons recorded in writing for taking action stated above	Being the first time offence, a Warning Letter was issued as per the Insider Code of Conduct under regulation 9 of SEBI(PIT) Regulations, 2015.
7	Details of the previous instances of violations, if any, since last financial year	First Time Violation
8	If any amount collected for Code of Conduct violation(s) i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) ii. Details of transfer/payment  <b><u>In case of online:</u></b> Name of the transferor Bank Name, branch and Account number UTR/Transaction reference Number Transaction date Transaction Amount (in Rs.)  <b><u>In case of Demand Draft (DD)</u></b> Bank Name and branch DD Number DD date DD amount (in Rs.)	No       NA    NA
9	Any other relevant information	No

**Date** : 19.10.2020  
**Place** : Valapad  
**Name of Compliance Officer** : Manoj Kumar V.R.

**Signature of Compliance Officer** :

**PAN** : AIHPM3138Q  
**Email ID** : cosecretary@manappuram.com

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