

# NORTHERN SPIRITS LIMITED

REG. OFF: 5A, WOODBURN PARK ROAD, WOODBURN CENTRAL  
UNIT-603, 6<sup>TH</sup> FLOOR, KOLKATA-700020  
CIN: L15500WB2012PLC185821

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Date: 11/05/2022

To  
The Listing Operations Department,  
BSE Limited,  
Phirozee Jeejeebhoy Towers,  
Dalal Street,  
Mumbai-400001

BSE Scrip Code: 542628

Respected Sir/Madam,

**Sub: Submission of Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2022 as per Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015.**

Pursuant to Regulation 24A of the Listing Obligations and Disclosure Requirements read with SEBI circular no CIR/CFD/CMD1//27/2019 dated February 8, 2019 please find enclosed herewith Annual Secretarial Compliance Report of the Company for the year ended 31<sup>st</sup> March, 2022 issued by Anurag Fatehpuria, Practising Company Secretary.

Kindly take the same on your record.

Thanking you,

Yours faithfully

For Northern Spirits Limited

*Abhijeet Prasad*  
ABHIJEET PRASAD  
Company Secretary &  
Compliance Officer



Enclsd: As above

# ANURAG FATEHPURIA

B. Com, C.S.

23/1, Sitanath Bose Lane, Salkia

Howrah - 711106

Phone: 9883808096

E-mail ID: af2011@rediffmail.com

## SECRETARIAL COMPLIANCE REPORT OF NORTHERN SPIRITS LIMITED FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022

I, **Anurag Fatehpuria**, Practicing Company Secretary have examined:

- (a) all the documents and records made available to us and explanation provided by **NORTHERN SPIRITS LIMITED** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; - Not attracted during the year under review.
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 - Not attracted during the year under review



- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014– Not attracted during the year under review
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 - Not attracted during the year under review
- (g) Securities and Exchange Board of India(Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 – Not Applicable
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and Circulars/Guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	NIL	NIL	NIL

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any
1			NIL	



d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 <sup>st</sup> March, 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	There are no observations of Practicing Company Secretary in the previous report for the year ended 31 <sup>st</sup> March, 2021.	NIL	NIL	NIL

Date: 11.05.2022

Place: Kolkata



Anurag Fatehpuria

ANURAG FATEHPURIA

Membership No. 34471

COP No. 12855

UDIN: A034471D000266747