



SUGAL & DAMANI SHARE BROKERS LTD.,

MEMBER :

National Stock Exchange of India Ltd., Bombay Stock Exchange Ltd.,
Central Depository Services (India) Ltd.,

CIN : L65991TN 1993 PLC 028228

20/04/2021

TO
BOMBAY STOCK EXCHANGE LIMITED
THE CORPORATE RELATIONSHIP DEPARTMENT
1ST FLOOR, NEW TRADING WING,
ROTUNDA BUILDING,
PHIROZE JEEJEEBHOY TOWERS
DALAL STREET,
MUMBAI – 400 001

SCRIP CODE: 511654

DEAR SIRs,

We enclose Certificate under regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 for the Half year ended March 31, 2021 issued by M/s. Sneha Jain & Associates, Company Secretaries, Chennai.

This is for your records, please.

Thanking you,

YOURS FAITHFULLY,
FOR SUGAL & DAMANI SHARE BROKERS LIMITED

Radhika

RADHIKA MAHESHWARI
COMPANY SECRETARY
Encl.: As Above

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015

FOR THE HALF YEAR ENDED MARCH 31, 2021

The Securities Exchange Board of India vide gazette notification dated June 8, 2018 and press release on December 3, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 1, 2019. Further SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019.

Accordingly, we have examined all share transfer deeds, memorandum of share transfers, registers, files and other documents relating to M/s. **Sugal & Damani Share Brokers Limited** (“**the Company**”) maintained by M/s. Cameo Corporate Services Limited pertaining to the period from **October 1, 2020 to March 31, 2021** (“**Reporting Period**”) for the purpose of issuing a Certificate as per Clause 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015 and based on the information provided by the Company, we hereby certify that the Company during the Reporting Period has not received –

- A) any request relating to the share transmission, transposition, sub division / split, consolidation, renewal, exchange or endorsement of calls / allotment monies.
- B) any request for issue of duplicates.

FOR SNEHA JAIN & ASSOCIATES
COMPANY SECRETARIES

SNEHA
JAIN

Digitally signed by
SNEHA JAIN
Date: 2021.04.18
17:27:05 +05'30'

SNEHA JAIN
PROPRIETRIX
FCS: 8889 | C.P. No. 10650

PLACE: CHENNAI
DATE: APRIL 18, 2021
UDIN - F008889C000122145