



NCL RESEARCH & FINANCIAL SERVICES LTD.

Correspondence Office :

79, Nagindas Master Road, 3rd Floor,
Bhagyodaya Building, Fort, Mumbai - 400 023.
Tel. : 022-3023 5731 / 022-3023 5727
Fax : 022-3020 1364 E-mail : ncl.research@gmail.com

Registered Office :

19, Krishna Colony,
Mahanagar, Lucknow - 226006

July 7, 2022

The Deputy Manager
Department of Corporate Services
BSE Limited
P. J. Towers, Dalal Street, Fort
Mumbai - 400 001

Ref: Scrip Code 530557

Sub: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for QE 30th June, 2022

Respected Sir or Madam,

Please find enclosed herewith a Certificate issued under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018, by Purva Sharegistry (India) Private Limited, RTA, for the period from 1st April, 2022 to 30th June, 2022.

Kindly take the same on your record & oblige.

Thanking You,

Yours truly,
For **NCL RESEARCH & FINANCIAL SERVICES LIMITED**

GOUTAM BOSE
DIN: 02504803
MANAGING DIRECTOR

Enclosed: As stated above



PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011
Tel : 2301 2518 / 2301 6761 • Email: support@purvashare.com • Web : www.purvashare.com

01/07/2022

To,

NCL RESEARCH AND FINANCIAL SERVICES LIMITED - INE132F01038

Dear Sir/Madam.

Sub: Request for confirmation certificate as per Regulation 74(5) of SECURITIES AND EXCHANGE BOARD OF INDIA (DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that we have complied with the following within 15 days of receipt of the certificate(s) of security from the Depository Participant(DP):

- The securities comprised in the certificate(s) of Security dematerialised, have been listed on stock exchanges where the earlier issued securities are listed.
- After due verification, immediately mutilated and cancelled the certificate(s) of security received for dematerialisation.
- Substituted in our records the name of the Depository as the registered owner and had sent a certificate to this effect to the Depositories and to every stock exchange on a quarterly basis, where the security is listed.

Thanking you,

Yours faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

NCL RESEARCH AND FINANCIAL SERVICES LIMITED - INE132F01038 - Details of Share certificates dematerialised from 01/04/2022 to 30/06/2022

FOLIO	NAME OF SHAREHOLDER	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALISED ON
00001718	VINOD G JAIN	0040022	318974451	319024450	50000	22/04/2022
00001718	VINOD G JAIN	0000024	28124451	28174450	50000	22/04/2022
00001717	VINOD G JAIN	0000023	28074451	28124450	50000	22/04/2022
00001717	VINOD G JAIN	0040021	318924451	318974450	50000	22/04/2022