

**IL&FS Investment Managers Limited**

The IL&FS Financial Centre  
Plot C-22, G-Block  
Bandra Kurla Complex  
Bandra East  
Mumbai 400 051  
India

**T** +91 22 2653 3333  
**F** +91 22 2653 3056  
**W** [www.iimlindia.com](http://www.iimlindia.com)

Corporate Identification No - L65999MH1986PLC147981

April 22, 2021

The Manager  
The Listing Department  
National Stock Exchange Limited  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
**Mumbai 400 051**

The Manager  
The Listing Department  
Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
**Mumbai 400 023**

**NSE Symbol: IVC**  
**BSE Security Code: 511208**  
**ISIN : INE050B01023**

**Re : Certificate under Regulation 40(9)**

Dear Sir :

Please find enclosed herewith the Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended March 31, 2021

Kindly acknowledge receipt of the same

Thanking you,

Yours sincerely,

**Sanjay Mitra**  
**Company Secretary**

Encl: as above



Rozy Empire, Silvassa Road,  
Opp.: PWD Rest House, Vapi - 396 191,  
Tele Fax: (0260) 2431655 9324545141,  
Email : jppvapi13@yahoo.com

202, Prem Kutir CHS Ltd.  
Prem Nagar, Near MCF Udyan,  
Borivali (W) Mumbai 400091  
Tel: (022) 2894 0483, 3067 5215

Date – 20/04/2021

To,  
The Board of Directors  
IL & FS Investment Managers Limited,  
Plot No.C-22, G Block,  
Bandra Kurla Complex,  
Bandra (E), Mumbai 400 051

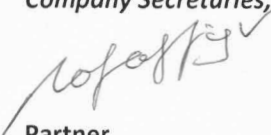
Dear Sirs,

**Sub: - Certificate under Regulation 40(9) Of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

We have examined the data produced before us by E-mail (*the relevant books, registers, forms, documents and papers could not be made available due to COVID-19 pandemic*) relating to IL & FS Investment Managers Limited ("the Company") maintained by Link Intime India Private Limited (Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per Regulation 40 (9) Of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by, IL&FS Investment Managers Limited with National Stock Exchange of India Limited and BSE Limited and based on the information provided by the Company and the Registrar & Share Transfer Agent, we hereby certify that the Company has issued during the half year ended 31<sup>st</sup> March, 2021.

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 as entered in the Memorandum of Transfers have been issued *within as well as after fifteen days* of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange from respective date of lodgment. (As per Annexure "A")
- B) The Company has **not received** any request(s) relating to the Transmission of Shares during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021.

FOR JAGDISH PATEL & CO.  
Unique Code No.: P1991GJ052300  
Company Secretaries,

  
Partner  
CS Ronak Kalathiya  
UDIN: A037007C000140803  
Encl.: Annexure - 'A'





**IL & FS Investment Managers Limited**

**ANNEXURE - 'A'**

**DETAILS FOR THE PERIOD 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021**

TOTAL NO. OF TRANSFERS/ DELETION DEED RECEIVED	TOTAL NO. OF TRANSFER/DELETION FOR WHICH CERTIFICATES WERE DISPATCHED.	
	WITHIN 15 DAYS FROM DATE OF RECEIPT.	AFTER 15 DAYS FROM DATE OF RECEIPT
04	03	01

**FOR JAGDISH PATEL & CO.**

**Unique Code No.: P1991GJ052300**

**Company Secretaries,**

**Partner**

**CS Ronak Kalathiya**

**UDIN: A037007C000140803**

**Date : 20/04/2021**

